# Graphs (Matroids) with $k \pm \epsilon$ -disjoint spanning trees (bases)

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- Survey Paper: E. M. Palmer, [On the spanning tree packing number of a graph, a survey, Discrete Math.
   230 (2001) 13 - 21].

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- $a_1(G)$ := edge-arboricity, the minimum number of spanning trees whose union equals E(G).
- Theorem (Nash-Williams, [J. London Math. Soc. 39 (1964)])  $a_1(G) \le k$  iff  $\forall X \subseteq E(G)$ ,  $|X| \le k |V(G[X])| - \omega(G[X]).$

# When does $\tau(G) = k$ ?

By Nash-Williams and Tutte, for a connected G,
τ(G) = k if and only if both of the following holds:
(i) ∀X ⊆ E(G), |E − X| ≥ k(ω(G − X) − 1), and
(ii) ∃X<sub>0</sub> ⊆ E(G), |E − X<sub>0</sub>| < (k + 1)(ω(G − X) − 1).</li>

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- Suppose that  $\tau(G) \ge k$ . Which edge  $\in E(G)$  has the property that  $\tau(G e) \ge k$ ?
- $E_k(G)$ : = edges with this property (Excessive Edges). Determine  $E_k(G)$ .



$$F(K_3,2) = 1$$
,  $F(K_{2,t},2) = 2$ , and  $F(P_{10},2) = 3$ 





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Every spanning tree must use one of the two edges in the 2-cut. Thus F(G, 2) = 1.









• |V(G)| = 8, |E(G)| = 18, k = 2 and |E(G)| - 2(|V(G)| - 1) = 4.

# **Example:** $E_k(G)$



• |V(G)| = 8, |E(G)| = 18, k = 2 and |E(G)| - 2(|V(G)| - 1) = 4.

 $\blacksquare$   $E_2(G) = E(K_5)$ , (by inspection, or proof postponed). -p. 7/30

A matroid M consists of a finite set E = E(M) and a collection I(M) of independent subsets of E, satisfying these axioms:

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(I2) Any subset of an independent set is independent. (I3) All maximal independent set in any subset of E have the same cardinality.

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- Rank of a subset X: r(X) = cardinality of a maximal independent subset in X.



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Rank  $r_{M(G)}(X) = |V(X)| - \omega(X)$ .

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- Theorem (Edmonds, [J. Res. Nat. Bur. Standards Sect. B 69B, (1965), 73-77]) Let M be a matroid with r(M) > 0. Each of the following holds. (i)  $\tau(M) \ge k$  if and only if  $\forall X \subseteq E(M)$ ,  $|E(M) - X| \ge k(r(M) - r(X))$ . (ii)  $\gamma_1(M) \le k$  if and only if  $\forall X \subseteq E(M)$ ,  $|X| \le kr(X)$ .

Suppose that \(\tau(M) < k\). A (\(\tau ≥ k)\)-extension of M is a matroid M' that contains M as a restriction with \(\tau(M')) ≥ k\). What is the minimum \|E(M')| - \|E(M)|\) among all (\(\tau ≥ k)\)-extension of M?</p>

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• Example  $d(K_n) = \frac{n}{2}$ ,  $d(K_{2,t}) = \frac{2t}{t+1}$ ,  $d(P_{10}) = \frac{5}{3}$ .

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■  $d(X) \ge 1$ , equality holds iff X is independent (G[X] is a forest).

# **Matroid and Graph Contractions**

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$$r_{M/X}(Y) = r_M(X \cup Y) - r_M(X), \ \forall Y \subseteq E - X.$$

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For  $X \subseteq E(G)$ , G/X is obtained from G by identifying the two vertices of each edge in X. The rank function of the cycle matroid of G/X is  $\forall Y \subseteq E - X$ ,

 $r_{M(G/X)}(Y) = |V(X \cup Y)| - \omega(X \cup Y) - |V(X)| + \omega(X).$ 

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- Strength:  $\eta(M) = \min\{\frac{|E-X|}{r(M)-r(X)} : r(X) < r(M)\} = \min\{d(G/X) : r(X) < r(M)\}.$

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- Fractional Arboricity:  $\gamma(M) = \max\{d(X) : r(X) > 0\}.$

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- $\ \ \, \blacksquare \eta(M) \leq d(M) \leq \gamma(M).$
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 $\eta(M) \le d(M) \le \gamma(M).$   $\eta(G) = \eta(M(G)), \gamma(G) = \gamma(M(G)).$   $\eta(G) \le d(G) \le \gamma(G).$ 

Useful Facts ([Discrete Appl. Math. 40 (1992) 285-302]) Each of the following holds.
 (i) τ(M) = [η(G)].
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   (ii) γ<sub>1</sub>(M) = [γ(G)].
- Theorem (Edmonds, fractional form, [DAM (1992)]) For integers  $p \ge q > 0$ , (i)  $\pi(M) > p$  iff M bac *m* backs such that every element

(i)  $\tau(M) \ge \frac{p}{q}$  iff *M* has *p* bases such that every element of *M* is in at most *q* of them.

(ii)  $\gamma \leq \frac{p}{q}$  iff *M* has *p* bases such that every element of *M* is in at least *q* of them.

#### **Characterizations**

Theorem (Catlin, Grossman, Hobbs & HJL, [Discrete Appl. Math. 40 (1992) 285-302]) The following are equivalent.

(i) 
$$\eta(M) = d(M)$$
.

(ii)  $\gamma(M) = d(M)$ .

(iii)  $\eta(M) = \gamma(M)$ .

(iv)  $\eta(M) = \frac{p}{q}$ , *M* has *p* bases such that each element is in exactly *q* of them.

(v)  $\gamma(M) = \frac{p}{q}$ , *M* has *p* bases such that each element is in exactly *q* of them.

#### $\eta$ -maximal restriction

A subset  $X \subseteq E(M)$  is  $\eta$ -maximal if for any Y with  $X \subset Y \subseteq E(M)$ ,  $\eta(M|X) > \eta(M|Y)$ .

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# Example



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# Example



**Each of**  $K_8, K_6, K_4$  is  $\eta$ -maximal.

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- (i) There exist an integer m > 0, and an m-tuple  $(l_1, l_2, ..., l_m)$  of rational numbers such that

$$\eta(M) = l_1 < l_2 < \dots < l_m = \gamma(G),$$

and a sequence of subsets

$$J_m \subset \ldots \subset J_2 \subset J_1 = E(M);$$

such that for each *i* with  $1 \le i \le m$ ,  $M|J_i$  is an  $\eta$ -maximal restriction of *M* with  $\eta(M|J_i) = l_i$ .

• (ii) The integer m, the sequences of fractions  $\eta(M) = l_1 \leq l_2 \leq ... \leq l_m = \gamma(M)$  and subsets  $J_m \subset ... \subset J_2 \subset J_1 = E(M)$  are uniquely determined by M.

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Terminologies:

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Terminologies:

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•  $\eta$ -decomposition:  $J_m \subset ... \subset J_2 \subset J_1 = E(M)$ .





 $\blacksquare m = 2, J_2 = E(K_5), J_1 = E(G).$ 









 $G/K_8 \cup K_6^2 \cup K_4$ 



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 $\bullet$   $i_4 = 4$ ,  $i_3 = 3$ ,  $i_2 = 2$  and  $i_1 = \frac{5}{3}$ .

#### **Characterization of Excessive Elements**

If k > 0 is an integer such that  $k < \beta_m = \gamma(M)$ , then in the  $\eta$ -spectrum, there exists a smallest  $i_{j_0}$  such that  $i_{j_0} > k$ .  $J_{j_0}$  is the  $\eta$ -maximal subset at level k of M.
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- Theorem Let k ≥ 2 be an integer. Let M be a graph with τ(M) ≥ k. Then each of the following holds.
  (i) E<sub>k</sub>(M) = E(M) if and only if η(M) > k.
  (ii) In general, if η(M) = k and if m > 1, then E<sub>k</sub>(G) = J<sub>2</sub> equals to the η-maximal subset at level k of M.

#### The Cycle Matroid Case

Theorem Let  $k \ge 2$  be an integer, and G be a connected graph with  $\tau(G) \ge k$ . Let  $\eta(M) = l_1 \le l_2 \le ... \le l_m = \gamma(M)$  and  $J_m \subset ... \subset J_2 \subset J_1 = E(M)$  denote the  $\eta$ -spectrum and  $\eta$ -decomposition of M(G), respectively. Then each of the following holds.

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## **Example of The Theorem**



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•  $i_2 = \frac{5}{2}, i_1 = 2.$ 

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- Since matroids do not have a concept corresponding to vertices, we must formulate the problem differently.
- For a matroid M, a matroid M' that contains M as a restriction, and satisfies  $\tau(M') \ge k$  is a  $(\tau \ge k)$ -extension of M.

- Let G be a graph, and let F(G, k) denote the minimum number of additional edges that must be added to G to result in a graph G' with  $\tau(G') \ge k$ .
- Since matroids do not have a concept corresponding to vertices, we must formulate the problem differently.
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If  $\tau(M) \ge k$ , then M' = M.

• 
$$F(M,k) = \min\{|E(M')| - |E(M)| : M' \text{ is a}$$
  
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Theorem Let M be a matroid and let k > 0 be an integer. Each of the following holds.
(i) η(M) ≥ k if and only if F(M, k) = 0.
(ii) If γ(M) ≤ k, then

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Theorem Let G be a graph. If (edge-arboricity)  $a_1(G) \le k$ , then  $F(G, k) = k(|V(G)| - \omega(G)) - |E(G)|$ .

Theorem (Haas, Theorem 1 of [Ars Combinatoria, 63 (2002), 129-137]) The following are equivalent for a graph *G*, integers *k* > 0 and *l* > 0.
(i) *E*(*G*)| = *k*(|*V*(*G*)| − 1) − *l* and for subgraphs *H* of *G* with at least 2 vertices, |*E*(*H*)| ≤ *k*(|*V*(*H*)| − 1).
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- Proof: Either (ii) or  $|E(H)| \le k(|V(H)| 1)$  in (i) implies  $\gamma(M(G)) \le k$ . Hence by our theorem, l = F(G, k) = k(|V(G)| - 1) - |E(G)|.

If k > 0 is an integer such that  $k < \beta_m = \gamma(M)$ , then in the  $\eta$ -spectrum  $\eta(M) = l_1 \le l_2 \le \dots \le l_m = \gamma(M)$ , there exists a smallest j(k) such that  $i(k) := i_{j(k)} \ge k$ .

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- Theorem For integer k > 0, let M be a matroid with  $\tau(M) \le k$  and let i(k) denote the smallest  $i_j$  in  $\eta(M) = l_1 \le l_2 \le ... \le l_m = \gamma(M)$  such that  $i(k) \ge k$ . Then
  - (i)  $F(M,k) = k(r(M) r(J_{i(k)}) |E(M) J_{i(k)}|.$ (ii)  $F(M,k) = \max_{X \subseteq E(M)} \{kr(M/X) - |M/X|\}.$

Theorem (D. Liu, H.-J. Lai and Z.-H. Chen, Theorems 3.4 and 3.10 of [Ars Combinatoria, 93 (2009), 113-127]) Let G be a connected graph with  $\tau(M(G)) \le k$  and let i(k) denote the smallest  $i_j$  in the spectrum of M(G) such that  $i(k) \ge k$ . Then (i) F(G,k) = $k(|V(G)| - |V(G[J_{i(k)}])| + \omega(G[J_{i(k)}]) - 1) - |E(G) - J_{i(k)}|$ . (ii)  $F(G,k) = \max_{Y \subseteq E(G)} \{k[\omega(G - Y) - 1] - |Y|\}.$ 

Theorem (D. Liu, H.-J. Lai and Z.-H. Chen, Theorems 3.4 and 3.10 of [Ars Combinatoria, 93 (2009), 113-127]) Let *G* be a connected graph with  $\tau(M(G)) \le k$  and let i(k) denote the smallest  $i_j$  in the spectrum of M(G) such that  $i(k) \ge k$ . Then (i) F(G, k) = $k(|V(G)| - |V(G[J_{i(k)}])| + \omega(G[J_{i(k)}]) - 1) - |E(G) - J_{i(k)}|.$ (ii)  $F(G, k) = \max_{Y \subseteq E(G)} \{k[\omega(G - Y) - 1] - |Y|\}.$ 

Proof: Apply the theorem to cycle matroids.

