



# Matching and spanning trails in digraphs

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## ABSTRACT

Let  $D$  be a digraph and let  $\alpha(D)$ ,  $\alpha'(D)$  and  $\lambda(D)$  be independence number, the matching number and the arc-strong connectivity of  $D$ , respectively. Bang-Jensen and Thomassé in 2011 conjectured that every digraph  $D$  with  $\lambda(D) \geq \alpha(D)$  is supereulerian. In [J. Graph Theory, 81(4), (2016) 393–402], it is shown that every digraph  $D$  with  $\lambda(D) \geq \alpha'(D)$  is supereulerian. In this paper, we introduced the symmetric core of a digraph and use it to show that each of the following holds for a strong digraph  $D$  on  $n \geq 3$  vertices with  $\lambda(D) \geq \alpha'(D) - 1$ .

(i) There exists a family  $\mathcal{D}(n)$  of well-characterized digraphs such that for any digraph  $D$  with  $\alpha'(D) \leq 2$ ,  $D$  has a spanning trail if and only if  $D$  is not a member in  $\mathcal{D}(n)$ .

(ii) If  $\alpha'(D) \geq 3$ , then  $D$  has a spanning trail.

(iii) If  $\alpha'(D) \geq 3$  and  $n \geq 2\alpha'(D) + 3$ , then  $D$  is supereulerian.

(iv) If  $\lambda(D) \geq \alpha'(D) \geq 4$  and  $n \geq 2\alpha'(D) + 3$ , then for any pair of vertices  $u$  and  $v$  of  $D$ ,  $D$  contains a spanning  $(u, v)$ -trail.

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## 1. Introduction

Throughout this paper, we use  $G$  to denote a graph and  $D$  a digraph. Graphs and digraphs considered are finite with undefined terms and notation will follow [9] for graphs and [3] for digraphs. As in [3], a digraph  $D$  is one that does not have loops and parallel arcs. Thus  $\kappa(G)$ ,  $\kappa'(G)$ ,  $\alpha(G)$  and  $\alpha'(G)$  denote the connectivity, the edge connectivity, the stability number (also called the independence number), and the matching number of a graph  $G$ ; and  $\kappa(D)$  and  $\lambda(D)$  denotes the vertex-strong connectivity and the arc-strong connectivity of a digraph  $D$ , respectively. The **indegree** and **outdegree** of a vertex  $v$  in a digraph  $D$  are denoted by  $d_D^-(v)$  and  $d_D^+(v)$ , respectively. We often use  $G(D)$  to denote the underlying graph of  $D$ , the graph obtained from  $D$  by erasing all orientation on the arcs of  $D$ . The stability number and the matching number of a digraph  $D$  are defined as

$$\alpha(D) = \alpha(G(D)) \text{ and } \alpha'(D) = \alpha'(G(D)),$$

respectively. Throughout this paper, we use paths, cycles, and trails as defined in [9] when the discussion is on an undirected graph  $G$ , and to denote directed paths, directed cycles and directed trails when the discussion is on a digraph  $D$ . A directed trail (or path, respectively) from a vertex  $u$  to a vertex  $v$  in a digraph  $D$  is often referred as to a  $(u, v)$ -trail (a  $(u, v)$ -path, respectively).

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The supereulerian problem was introduced by Boesch, Suffel, and Tindell in [8], seeking to characterize graphs that have spanning Eulerian subgraphs. Pulleyblank in [19] proved that determining whether a graph is supereulerian, even within planar graphs, is NP-complete. There have been lots of researches on this topic. For more literature on supereulerian graphs, see Catlin’s informative survey [10], as well as the later updates in [11] and [17]. The supereulerian problem in digraphs is considered by Gutin [13,14]. A strong digraph  $D$  is **eulerian** if for any  $v \in V(D)$ ,  $d_D^+(v) = d_D^-(v)$ . A digraph  $D$  is **supereulerian** if  $D$  contains a spanning eulerian subdigraph, or equivalently, a spanning closed trail. Thus supereulerian digraphs must be strong, and every hamiltonian digraph is also a supereulerian digraph.

The supereulerian digraph problem is to characterize the strong digraphs that contains a spanning closed trail. Other than the researches on hamiltonian digraphs, a number of studies on supereulerian digraphs have been conducted recently. In particular, Hong et al. in [15,16] and Bang-Jensen and Maddaloni [5] presented some best possible sufficient degree conditions for supereulerian digraphs. Several researches on various conditions of supereulerian digraphs can be found in [2,4,18], among others.

A well known theorem of Chvátal and Erdős [12] states that every 2-connected graph  $G$  with  $\kappa(G) \geq \alpha(G)$  is hamiltonian. Thomassen [20] indicated that the Chvátal–Erdős Theorem does not extend to digraphs by presenting an infinite family of non hamiltonian (but supereulerian) digraphs  $D$  with  $\kappa(D) = \alpha(D) = 2$ . This motivates Bang-Jensen and Thomassé (2011, unpublished, see [6]) to make the following conjecture.

**Conjecture 1.1** (Bang-Jensen and Thomassé [5,6]). *Let  $D$  be a digraph. If  $\lambda(D) \geq \alpha(D)$ , then  $D$  is supereulerian.*

A number of studies have been conducted towards **Conjecture 1.1**. In [5], Bang-Jensen and Maddaloni verified the validity of **Conjecture 1.1** for several families of digraphs, including semicomplete multipartite digraphs and quasitransitive digraphs. The following have been proved.

**Theorem 1.2.** *Let  $D$  be a strong digraph.*

- (i) (Alfegari and Lai, Theorem 1.5 of [1]) *If  $\lambda(D) \geq \alpha'(D)$ , then  $D$  is supereulerian.*
- (ii) (Zhang et al. Theorem 1.5 of [21]) *If  $G(D)$  is a bipartite digraph and  $\lambda(D) \geq \lfloor \frac{\alpha(D)}{2} \rfloor + 1$ , then  $D$  is supereulerian.*

A digraph  $D$  is **strongly trail-connected** if for any two vertices  $u$  and  $v$  of  $D$ ,  $D$  possess both a spanning  $(u, v)$ -trail and a spanning  $(v, u)$ -trail. As the case when  $u = v$  is possible, every strongly trail-connected digraph is also supereulerian. In Section 3, we shall introduce a digraph family  $\mathcal{D}(n)$  each of whose members does not have a spanning trail with its underlying graph spanned by a  $K_{2,n-2}$ . The following is our main result.

**Theorem 1.3.** *Let  $D$  be a strong digraph on  $n \geq 12$  vertices satisfying  $\lambda(D) \geq \alpha'(D) - 1$ . Each of the following holds.*

- (i) *If  $\alpha'(D) \leq 2$ , then  $D$  has a spanning trail if and only if  $D$  is not a member in  $\mathcal{D}(n)$ .*
- (ii) *If  $\alpha'(D) \geq 3$ , then  $D$  has a spanning trail.*
- (iii) *If  $\alpha'(D) \geq 3$  and  $n \geq 2\alpha'(D) + 3$ , then  $D$  is supereulerian.*
- (iv) *If  $\lambda(D) \geq \alpha'(D) \geq 4$  and  $n \geq 2\alpha'(D) + 3$ , then  $D$  is strongly trail-connected.*

**Theorem 1.3** (iii) and (iv) extended **Theorem 1.2** (i) when  $\alpha'(D)$  and  $|V(D)|$  are sufficiently large. In the next section, we present some preliminaries including several structural analysis lemmas. The proof of the main result will be given in the last section.

## 2. Preliminaries

Let  $D$  be a digraph on  $n$  vertices, and let  $k = \alpha'(D)$ . Thus  $n \geq 2k$ . If  $G = G(D)$  for a digraph  $D$ , then as  $D$  may possess a 2-cycle, it is possible for  $G$  to have parallel edges. Throughout our discussions, we use the notation  $(u, v)$  to denote an arc oriented from  $u$  to  $v$  in a digraph  $D$ ; and use  $[u, v]$  to denote either  $(u, v)$  or  $(v, u)$ . When  $[u, v] \in A(D)$ , we say that  $u$  and  $v$  are adjacent. If two arcs of  $D$  have a common vertex, we say that these two arcs are adjacent in  $D$ . If  $X$  is a vertex subset or an arc subset of  $D$ , we use  $D[X]$  to denote the subdigraph of  $D$  induced by  $X$ . If  $e$  is an edge in a graph  $G$  or an arc in a digraph  $D$  incident with vertices  $u$  and  $v$ , define  $V(e) = \{u, v\}$ . As in [3], we define, for a vertex  $v \in V(D)$ ,  $N_D^+(v) = \{w \in V(D) : (v, w) \in A(D)\}$ ,  $N_D^-(v) = \{u \in V(D) : (u, v) \in A(D)\}$  and  $N_D(v) = N_D^+(v) \cup N_D^-(v)$ . For a subset  $X \subseteq V(D)$ , define  $N_D(X) = \cup_{x \in X} N_D(x)$ .

For an arc subset  $F \subseteq A(D)$ , define  $V(F) = \cup_{e \in F} V(e)$  to be the set of vertices incident with an edge of  $F$  in  $D$ . For subsets  $X, Y \subseteq V(D)$ , define

$$(X, Y)_D = \{(x, y) \in A(D) : x \in X, y \in Y\}, \text{ and } (X, Y)_{G(D)} = (X, Y)_D \cup (Y, X)_D.$$

If  $X = \{x\}$  or  $Y = \{y\}$ , we often use  $(x, Y)_D$  for  $(X, Y)_D$  or  $(X, y)_D$  for  $(X, Y)_D$ , respectively. Hence  $(x, y)_D = (\{x\}, \{y\})_D$ . For a vertex  $v \in V(D)$ , let  $\partial_D^+(v) = (v, V(D) - v)_D$  and  $\partial_D^-(v) = (V(D) - v, v)_D$ . Thus  $d_D^+(v) = |\partial_D^+(v)|$  and  $d_D^-(v) = |\partial_D^-(v)|$ . We further define

$$d_D(v) = d_D^+(v) + d_D^-(v) \text{ and } \delta(D) = \min\{d_D(v) : v \in V(D)\}.$$

Let  $M$  be a matching in a graph  $G$ . A path  $P$  is an  $M$ -**augmenting path** if the edges of  $P$  are alternately in  $M$  and in  $E(G) - M$ , and if both end vertices of  $P$  are not in  $V(M)$ . An  $M$ -augmenting path of a digraph  $D$  is an  $M$ -augmenting path of  $G(D)$ . The following theorem is fundamental.

**Theorem 2.1** (Berge, [7]). *A matching  $M$  in  $G$  is a maximum matching if and only if  $G$  does not have  $M$ -augmenting paths.*

2.1. *The symmetric core of a digraph*

Let  $D = (V(D), A(D))$  be a digraph. An arc  $(u, v) \in A(D)$  is **symmetric** in  $D$  if  $(u, v), (v, u) \in A(D)$ , and asymmetric otherwise. Notice that a symmetric arc  $(u, v)$  together with the arc  $(v, u)$  form a pair of symmetric arcs of  $D$ . A digraph  $D$  is **symmetric** if every arc of  $D$  is symmetric. Let  $S(D) = \{e \in A(D) : e \text{ is symmetric in } D\}$ . If  $A(D) = S(D)$ , then  $D$  is symmetric. The **symmetric core** of  $D$ , denoted by  $J(D)$ , has vertex set  $V(D)$  and arc set  $S(D)$ . When  $D$  is understood from the context, we often use  $J$  for  $J(D)$ .

Let  $e = (v_1, v_2) \in A(D)$  be an arc of  $D$ . Define  $D/e$  to be the digraph obtained from  $D - e$  by identifying  $v_1$  and  $v_2$  into a new vertex  $v_e$ , and deleting the possible resulting loop(s). If  $W \subseteq A(D)$  is a symmetric arc subset, then define the **contraction**  $D/W$  to be the digraph obtained from  $D$  by contracting each arc  $e \in W$ , and deleting any resulting loops. Thus even  $D$  does not have parallel arcs, a contraction  $D/W$  is loopless but may have parallel arcs, with  $A(D/W) \subseteq A(D) - W$ . If  $H$  is a subdigraph of  $D$ , then we often use  $D/H$  for  $D/A(H)$ . If  $L$  is a connected symmetric component of  $H$  and  $v_L$  is the vertex in  $D/H$  onto which  $L$  is contracted, then  $L$  is the **contraction preimage** of  $v_L$ . We adopt the convention to define  $D/\emptyset = D$ , and define a vertex  $v \in V(D/W)$  to be a **trivial vertex** if the preimage of  $v$  is a single vertex (also denoted by  $v$ ) in  $D$ . Hence we often view trivial vertices in a contraction  $D/W$  as vertices in  $D$ . We use  $\mathbb{Z}_k$  to denote the (additive) group of integers modulo  $k$ .

**Lemma 2.2.** *Let  $D$  be a digraph,  $J = J(D)$  and  $J_0$  be a symmetric subdigraph of  $J$ .*

- (i) *For any  $v \in V(J_0)$ ,  $d_{J_0}^+(v) = d_{J_0}^-(v)$ .*
- (ii) *If  $J_0$  is connected, then  $J_0$  is an eulerian subdigraph of  $D$  and so  $J_0$  is strongly connected.*
- (iii) *Suppose that  $J_0$  is connected. Then for any vertices  $u, v \in V(J_0)$ ,  $J_0$  contains a spanning  $(u, v)$ -trail.*
- (iv) *If  $D$  is strong and for some vertices  $u, v \in V(D)$ ,  $D$  has a  $(u, v)$ -trail  $P$  such that  $D - A(P)$  contains a connected symmetric subdigraph  $J'$  of  $J$  such that  $V(P) \cup V(J') = V(D)$ ,  $u, v \notin V(J')$  and there exist two vertices  $v^+, v^- \in V(J')$  with  $(v, v^+), (v^-, u) \in A(D)$ , then  $D$  is supereulerian.*
- (v) *If  $D/J_0$  has a hamiltonian cycle, then  $D$  is supereulerian. In particular, if  $D$  is strong and  $J_0$  is a spanning subdigraph of  $D$  with at most two connected components, then  $D$  is supereulerian.*
- (vi) *If  $D$  is strong and  $D[A(D) - A(J_0)]$  has a trail  $T'$  that intersects every component of  $J_0$  with  $V(D) - V(J_0) \subseteq V(T')$ , then  $T = D[A(T') \cup A(J_0)]$  is a spanning trail in  $D$ .*
- (vii) *Suppose  $\lambda(D) \geq 2$ . If  $G(D - V(J_0))$  is spanned by a 3-cycle, then  $D$  is supereulerian.*

**Proof.** As (i) and (ii) are immediate consequences of the definitions, it suffices to justify the other conclusions. Let  $u, v \in V(J_0)$ . By (ii), we assume that  $J_0$  is strong and  $u \neq v$ . Let  $P$  be a shortest  $(v, u)$ -path in  $J_0$ . As  $P$  is shortest, if an arc  $e = (x, y) \in A(P)$ , then  $(y, x) \notin A(P)$ . By (i),  $T = J_0 - A(P)$  is a connected digraph such that  $d_T^+(u) = d_T^-(u) + 1$ ,  $d_T^+(v) = d_T^-(v) - 1$  and for any vertex  $w \in V(T) - \{u, v\}$ ,  $d_T^+(w) = d_T^-(w)$ . Thus  $T$  is a spanning  $(u, v)$ -trail of  $J_0$ . This proves (iii).

By assumption,  $J'$  is a connected symmetric subdigraph, and so  $J'$  is the symmetric core of itself. By (iii) with  $J_0 = J'$ ,  $J'$  contains a spanning  $(v^+, v^-)$ -trail  $T$ . As  $A(T) \cap A(P) \subseteq A(J') \cap A(P) = \emptyset$ , the arc set  $A(T) \cup A(P) \cup \{(v, v^+), (v^-, u)\}$  induces a spanning closed trail of  $D$ , and so  $D$  is supereulerian. Hence (iv) is justified.

To prove (v), let  $D' = D/J_0$  and denote  $n = |V(D')|$ . Suppose that  $D'$  has a hamiltonian cycle  $C$  with  $V(C) = \{v_1, v_2, \dots, v_n\}$  and  $A(C) = \{e_i = (v_i, v_{i+1}) : i \in \mathbb{Z}_n\}$ . Let  $J_1, J_2, \dots, J_n$  be the preimage of  $v_1, v_2, \dots, v_n$ , respectively. By definition, each  $J_i$  is a connected component of  $J_0$ , and so a connected symmetric subdigraph of  $J$ . By the definition of contraction,  $A(D') \subseteq A(D)$ , and so for each  $i \in \mathbb{Z}_n$ , the arc  $e_i \in A(D)$ . Therefore, there exist vertices  $v'_i \in V(J_i)$  and  $v''_{i+1} \in V(J_{i+1})$  with  $e_i = (v'_i, v''_{i+1}) \in A(D)$ . Since each  $J_i$  is a connected symmetric subdigraph of  $J$ , it follows by (iii) that  $J_i$  has a spanning  $(v'_i, v'_i)$ -trail  $T_i$ . Let  $A_1 = \{(v'_i, v''_{i+1}) : i \in \mathbb{Z}_n\}$ . Then  $H = D[A_1 \cup (\bigcup_{i \in \mathbb{Z}_n} A(T_i))]$  is a spanning closed trail of  $D$ , and so  $D$  is supereulerian. Now we assume that  $D$  is strong and  $J_0$  is a spanning subdigraph of  $D$  with at most two connected components. Then  $D/J_0$  is strong with  $|V(D/J_0)| \leq 2$ . It follows that  $D/J_0$  is hamiltonian, and so  $D$  is supereulerian. Thus (v) follows.

Let  $T'$  be a trail of  $D[A(D) - A(J_0)]$  that intersects every component of  $J_0$  with  $V(D) - V(J_0) \subseteq V(T')$ , and let  $J_1, J_2, \dots, J_c$  be the connected components of  $J_0$ . Since for each  $i$  with  $1 \leq i \leq c$ ,  $V(T') \cap V(J_i) \neq \emptyset$  and so  $T = D[A(T') \cup A(J_0)]$  is connected. As  $V(D) - V(J_0) \subseteq V(T')$ ,  $T = D[A(T') \cup A(J_0)]$  is spanning in  $D$ . Let  $v \in V(T)$ . If  $v \in V(D) - V(J_0)$ , we define  $d_T^+(v) = d_T^-(v) = 0$ . By (i),  $d_T^+(v) = d_T^+(v) + d_{J_0}^+(v) = d_T^-(v) + d_{J_0}^-(v) = d_T^-(v)$ , and so  $T$  is a spanning trail of  $D$ . This justifies (vi).

To prove (vii), we assume that  $\lambda(D) \geq 2$  and  $V(D - V(J_0)) = \{v_1, v_2, v_3\}$  such that  $G(D - V(J_0))$  has a hamiltonian cycle. Suppose first that  $D[\{v_1, v_2, v_3\}]$  is spanned by a 3-cycle. Then as  $D$  is strong, there must be arcs  $(v', v^-), (v^+, v'') \in A(D)$  for some  $v', v'' \in \{v_1, v_2, v_3\}$  and  $v^-, v^+ \in V(J_0)$ . It follows by Lemma 2.2 (iv) that  $D$  is supereulerian. Hence we assume that  $D[\{v_1, v_2, v_3\}]$  does not contain a 3-cycle. Since  $D$  is a digraph, we may assume, by symmetry, that  $(v_1, v_2), (v_2, v_3), (v_1, v_3) \in A(D)$  and  $(v_3, v_1) \notin A(D)$ . Since  $d_D^-(v_1) \geq \lambda(D) \geq 2$ , we must have  $(v^+, v_1) \in A(D)$  for some  $v^+ \in V(J_0)$ . Likewise, as  $d_D^+(v_3) \geq \lambda(D) \geq 2$ , we must have  $(v_3, v^-) \in A(D)$  for some  $v^- \in V(J_0)$ . It follows by Lemma 2.2 (iv) that  $D$  is supereulerian. This justifies (vii) and completes the proof of the lemma. ■

### 2.2. Structural properties

The rest of this section is devoted to the structural analysis for strong digraphs whose arc-strong connectivity is at least as big as the stability number minus one. We start with a definition.

**Definition 2.3.** Let  $M$  be a matching of a digraph  $D$ . For each  $w \in V(D) - V(M)$ , define

$$\begin{aligned}
 M_w^{2,2} &= \{e = [u_w(e), v_w(e)] \in M : |(w, \{u_w(e), v_w(e)\})_{G(D)}| = 4\}, \\
 M_w^{2,1} &= \{e = [u_w(e), v_w(e)] \in M : |(w, \{u_w(e), v_w(e)\})_{G(D)}| = 3\}, \\
 M_w^{2,0} &= \{e = [u_w(e), v_w(e)] \in M : \\
 &\quad \text{for some } v \in \{u_w(e), v_w(e)\}, |(w, v)_{G(D)}| = |(w, \{u_w(e), v_w(e)\})_{G(D)}| = 2\}, \\
 M_w^{1,1} &= \{e = [u_w(e), v_w(e)] \in M : |(w, u_w(e))_{G(D)}| = |(w, v_w(e))_{G(D)}| = 1\}, \\
 M_w^{1,0} &= \{e = [u_w(e), v_w(e)] \in M : \\
 &\quad \text{for some } v \in \{u_w(e), v_w(e)\}, |(w, v)_{G(D)}| = |(w, \{u_w(e), v_w(e)\})_{G(D)}| = 1\}, \\
 M_w^{0,0} &= \{e = [u_w(e), v_w(e)] \in M : |(w, u_w(e))_{G(D)}| = |(w, v_w(e))_{G(D)}| = 0\}.
 \end{aligned}
 \tag{1}$$

The following observation follows from Definition 2.3 and Theorem 2.1.

**Observation 2.4.** Let  $n = |V(D)|$  and  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  be a maximum matching of a digraph  $D$ .

- (i) As  $M$  is a maximum matching,  $V(D) - V(M)$  is a stable set. This implies that for any  $w \in V(D) - V(M)$ ,  $N_D(w) \subseteq V(M)$ , and so by Definition 2.3,  $d_D(w) = 4|M_w^{2,2}| + 3|M_w^{2,1}| + 2(|M_w^{2,0}| + |M_w^{1,1}|) + |M_w^{1,0}|$ , and  $|M_w^{2,2}| + |M_w^{2,1}| + |M_w^{2,0}| + |M_w^{1,1}| + |M_w^{1,0}| + |M_w^{0,0}| = k$ .
- (ii) Let  $x, y \in V(D) - V(M)$  are distinct vertices, and  $[u, v] \in M$ . By Theorem 2.1,  $D$  does not have an  $M$ -augmenting path, and so if  $x \in N_D(u)$ , then  $y \notin N_D(v)$ .
- (iii) As a consequence of (ii), if  $x, y \in V(D) - V(M)$  are distinct vertices, then

$$(M_x^{2,2} \cup M_x^{2,1} \cup M_x^{1,1}) \cap (M_y^{2,2} \cup M_y^{2,1} \cup M_y^{2,0} \cup M_y^{1,1} \cup M_y^{1,0}) = \emptyset.$$

Throughout the rest of this section, we always assume that  $D$  is a digraph with  $k = \alpha'(D) \geq 3$ ,  $n = |V(D)| \geq 2k + 3$ ,  $J = J(D)$  is the symmetric core of  $D$ , and let  $X = V(D) - V(M)$ . For each  $x \in X$ , define

$$k_1(x) = |M_x^{2,2}| + |M_x^{2,1}| + |M_x^{1,1}| \text{ and } k_2(x) = |M_x^{2,0}| + |M_x^{1,0}|. \tag{2}$$

**Lemma 2.5.** Let  $D$  be a digraph with  $k = \alpha'(D) \geq 3$  and  $\delta(D) \geq 2k - 2$ , and  $M$  be a maximum matching of  $D$ . If for some vertex  $x_1 \in X$ , both  $d_D(x_1) \geq 2k - 1$  and  $k_1(x_1) > 0$ , then each of the following holds.

- (i)  $k_1(x_1) = 1$ ,  $k_2(x_1) \in \{k - 2, k - 1\}$ , and for any vertex  $x \in X - \{x_1\}$ ,  $k_1(x) = 0$ .
- (ii)  $D$  has a stable set  $\{v_1, v_2, \dots, v_k\}$  such that  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  with  $M_{x_1}^{2,2} \cup M_{x_1}^{2,1} \cup M_{x_1}^{1,1} = \{[u_1, v_1]\}$  and  $\{u_1, u_2, \dots, u_{k-1}, v_1\} \subseteq N_D(x_1) \subseteq \{u_1, u_2, \dots, u_k, v_1\}$ , and such that  $J$  has a connected component  $J'$  with  $(X - \{x_1\}) \cup \{u_2, u_3, \dots, u_k\} \subseteq V(J')$ .
- (iii)  $\{v_2, \dots, v_k\} \subseteq V(J')$ . Moreover, if  $k \geq 4$ , then  $v_1$  lies in a nontrivial connected component of  $J$ .
- (iv) If  $\lambda(D) \geq 2$ , then  $D$  is supereulerian.
- (v) If, in addition,  $d_D(x_1) \geq 2k$ , then either  $(x_1, v_1), (v_1, x_1) \in A(D)$ , or there exist at least  $k - 1$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(x_1, u), (u, x_1) \in A(D)$ .

**Proof.** Throughout the proof of this lemma, we let  $k_1 = k_1(x_1)$  and  $k_2 = k_2(x_1)$ . Denote  $M_{x_1}^{2,2} \cup M_{x_1}^{2,1} \cup M_{x_1}^{1,1} = \{[u_1, v_1], \dots, [u_{k_1}, v_{k_1}]\}$  and  $M_{x_1}^{2,0} \cup M_{x_1}^{1,0} = \{[u_{k_1+1}, v_{k_1+1}], \dots, [u_{k_1+k_2}, v_{k_1+k_2}]\}$  with  $\{u_{k_1+1}, \dots, u_{k_1+k_2}\} \subseteq N_D(x_1)$ .

Choose  $x_2 \in X - \{x_1\}$  such that

$$k_1(x_2) = \max\{k_1(x) : x \in X - \{x_1\}\}, \text{ and let } k_2'' = \left| \bigcup_{j=1}^2 (M_{x_j}^{2,0} \cup M_{x_j}^{1,0}) \right|.$$

By Observation 2.4 (i) and (iii),

$$\begin{aligned}
 2k - 1 &\leq d_D(x_1) = 4|M_{x_1}^{2,2}| + 3|M_{x_1}^{2,1}| + 2(|M_{x_1}^{2,0}| + |M_{x_1}^{1,1}|) + |M_{x_1}^{1,0}| \leq 4k_1 + 2k_2, \\
 2k - 2 &\leq d_D(x_2) = 4|M_{x_2}^{2,2}| + 3|M_{x_2}^{2,1}| + 2(|M_{x_2}^{2,0}| + |M_{x_2}^{1,1}|) + |M_{x_2}^{1,0}| \leq 4k_1(x_2) + 2k_2''.
 \end{aligned}$$

By adding the inequalities above side by side, and by Observation 2.4 (iii), we have

$$4k - 3 \leq 4(k_1 + k_1(x_2) + k_2'') \leq 4k - 4(|M_{x_1}^{0,0}| + |M_{x_2}^{0,0}|).$$

It follows that  $|M_{x_1}^{0,0}| + |M_{x_2}^{0,0}| = 0$ . By Observation 2.4 (iii),

$$\bigcup_{j=1}^2 (M_{x_j}^{2,0} \cup M_{x_j}^{1,0}) \subseteq M - \left( \bigcup_{j=1}^2 (M_{x_j}^{2,2} \cup M_{x_j}^{2,1} \cup M_{x_j}^{1,1}) \right),$$

and so by [Observation 2.4](#) (i) and by  $k_1 > 0$ , we have

$$N_D(x) \subseteq \bigcup_{j=1}^2 \left( V(M_{x_j}^{2,0} \cup M_{x_j}^{1,0}) \cap N_D(x_j) \right), \text{ for any } x \in X - \{x_1, x_2\}, \tag{3}$$

$$k - 1 - k_1(x_2) \geq k - (k_1 + k_1(x_2)) \geq \left| \bigcup_{j=1}^2 (M_{x_j}^{2,0} \cup M_{x_j}^{1,0}) \right|. \tag{4}$$

If  $k_1 = 1$  and  $k_1(x_2) = 0$ , then as  $d_D(x_1) \geq 2k - 1$ , it would follow that  $k_2 \in \{k - 2, k - 1\}$ . Hence to prove [Lemma 2.5](#) (i), it suffices to show that  $k_1 = 1$  and  $k_1(x_2) = 0$ . By contradiction, we assume that either  $k_1 \geq 2$  or  $k_1(x_2) > 0$ . Then by (4),  $2(k - 2) \geq |\bigcup_{j=1}^2 V(M_{x_j}^{2,0} \cup M_{x_j}^{1,0})|$ . Since  $n = |V(D)| \geq 2k + 3$ , there exists a vertex  $x_3 \in X - \{x_1, x_2\}$ . By  $\delta(D) \geq 2k - 2$ , (3) and by [Observation 2.4](#) (iii),  $2(k - 1) \leq |d_D(x_3)| \leq |\bigcup_{j=1}^2 V(M_{x_j}^{2,0} \cup M_{x_j}^{1,0})| \leq 2(k - 2)$ , a contradiction. This proves that [Lemma 2.5](#) (i).

By (i),  $k_1 = 1$ . Let  $[u_1, v_1]$  denote the only arc in  $M_{x_1}^{2,2} \cup M_{x_1}^{2,1} \cup M_{x_1}^{1,1}$ . As  $k_2 \in \{k - 2, k - 1\}$ , we can label the vertices and denote  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  such that  $\{u_1, u_2, \dots, u_{k-1}\} \subseteq N_D(x_1)$ , and such that if  $(X, \{u_k, v_k\})_{G(D)} \neq \emptyset$ , then  $(X, \{u_k\})_{G(D)} \neq \emptyset$ . Hence  $\{u_1, u_2, \dots, u_{k-1}, v_1\} \subseteq N_D(x_1) \subseteq \{u_1, u_2, \dots, u_k, v_1\}$ . Fix a vertex  $x \in X - \{x_1\}$ . By  $k_1 = 1$  and by [Observation 2.4](#) (i) and (ii),  $(x, \{u_1, v_1, v_2, \dots, v_k\})_D = \emptyset$ , and so by  $\delta(D) \geq 2k - 2$ ,  $N_D(x) = \{u_2, \dots, u_k\}$ . It follows by  $\delta(D) \geq 2k - 2$  that  $\{(u_j, x), (x, u_j) \in A(D)\}$  for any  $2 \leq j \leq k$ , and so  $J$  has a connected component  $J'$  containing the vertices  $(X - \{x_1\}) \cup \{u_2, u_3, \dots, u_k\}$ . As  $N_D(x) = \{u_2, u_3, \dots, u_k\}$ ,  $k \geq 3$  and  $u_1, v_1 \in N_D(x_1)$ . We conclude by [Theorem 2.1](#) that  $\{v_1, v_2, \dots, v_k\}$  is a stable set of  $D$  as any arc in  $D$  incident with two distinct vertices in  $\{v_1, v_2, \dots, v_k\}$  would give rise to an  $M$ -augmenting path in  $D$ . This proves [Lemma 2.5](#) (ii).

For any  $v_i$  with  $2 \leq i \leq k$ , as  $\{v_1, v_2, \dots, v_k\}$  is a stable set,  $N_D(v_i) \subseteq V(D) - \{v_1, \dots, v_k\}$ . By [Observation 2.4](#) (iii) and by [Lemma 2.5](#) (ii), we further conclude that  $N_D(v_i) \subseteq \{u_2, u_3, \dots, u_k\}$ . This, together with  $\delta(D) \geq 2k - 2$ , forces that  $\{(u_j, v_i), (v_i, u_j)\} \subseteq A(D)$ , for any  $j$  with  $2 \leq j \leq k$ . Hence  $\{v_2, \dots, v_k\} \subseteq V(J')$ . By [Observation 2.4](#),  $(\{X - \{x_1\}\}, \{v_1\})_{G(D)} = \emptyset$ , and so  $N_D(v_1) \subseteq \{u_1, u_2, u_3, \dots, u_k, x_1\}$ . It follow that  $|(\{u_1, u_2, u_3, \dots, u_k, x_1\}, \{v_1\})_{G(D)}| \geq |d_D(v_1)| \geq 2k - 2$ , and so there exist at least  $(2k - 2) - (k + 1) \geq k - 3$  vertices  $z \in \{u_1, u_2, u_3, \dots, u_k, x_1\}$  satisfying  $(z, v_1), (v_1, z) \in A(D)$ . Hence if  $k \geq 4$ , then  $v_1$  lies in a nontrivial connected component of  $J$ . This proves [Lemma 2.5](#) (iii).

Let  $J_0 = J[V(D) - \{u_1, v_1, x_1\}]$ . By (ii) and (iii),  $J_0$  is a connected symmetric subdigraph of  $J$ . As  $[u_1, v_1], [v_1, x_1], [x_1, u_1] \in A(D)$ , it follows by  $\lambda(D) \geq 2$  and [Lemma 2.2](#) (vii) that  $D$  is supereulerian. This proves (iv).

Finally, we assume that  $d_D(x_1) \geq 2k$  but  $|(\{x_1\}, \{v_1\})_{G(D)}| = 1$ . Then  $|(\{x_1\}, \{u_1, \dots, u_k\})_{G(D)}| \geq 2k - 1$ , implying that there exist at least  $k - 1$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(x_1, u), (u, x_1) \in A(D)$ . Hence (v) holds. This completes the proof of [Lemma 2.5](#). ■

A digraph  $D$  with vertex set  $V = V(D)$  is a **complete digraph** if for any pair of distinct vertices  $u, v \in V$ ,  $(u, v), (v, u) \in A(D)$ . A complete digraph on  $n$  vertices will be denoted by  $K_n^*$ . Define  $D_0$  to be the vertex disjoint union of three complete digraphs of order 3.

**Lemma 2.6.** *Let  $D$  be a digraph with  $k = \alpha'(D) \geq 3$ ,  $\delta(D) \geq 2k - 2$  and  $M$  be a maximum matching of  $D$ . Then each of the following holds.*

- (i) *If for some vertex  $x_1 \in X$ ,  $d_D(x_1) \geq 2k - 1$  and  $k_1(x_1) = 0$ , then for any  $x \in X$ ,  $k_1(x) = 0$ .*
- (ii) *If for some vertex  $x_1 \in X$ ,  $k_1(x_1) > 0$ , then either  $D \cong D_0$ , or  $k_1(x_1) = 1$  and  $k_1(x) = 0$  for any  $x \in X - \{x_1\}$ .*

**Proof.** Arguing by contradiction to prove (i), we may assume that  $x_2 \in X - \{x_1\}$  and  $k_1(x_2) > 0$ . Let  $[u_2, v_2] \in M_{x_2}^{2,2} \cup M_{x_2}^{2,1} \cup M_{x_2}^{1,1}$ . Then by [Observation 2.4](#) (i),  $N_D(x_1) \subseteq V(M - \{[u_2, v_2]\})$ . As  $d_D(x_1) \geq 2k - 1$ , and as  $|M - \{[u_2, v_2]\}| = k - 1$ , there exists an arc  $[u_1, v_1] \in M - \{[u_2, v_2]\}$  such that  $|(\{x_1, \{u_1, v_1\}\})_D| \geq 3$ . Hence we must have  $k_1(x_1) > 0$ , contrary to the assumption that  $k_1(x_1) = 0$ . This proves [Lemma 2.6](#) (i).

Now assume that for some vertex  $x_1 \in X$ ,  $k_1(x_1) > 0$ . Then there exists an arc  $[u_1, v_1] \in M$  such that  $u_1, v_1 \in N_D(x_1)$ . By [Observation 2.4](#) (ii), for any  $x \in X - \{x_1\}$ ,  $u_1, v_1 \notin N_D(x)$ . Suppose that we have another vertex  $x_2 \in X - \{x_1\}$  with  $k_1(x_2) > 0$ , or we have  $k_1(x_1) \geq 2$ . Then there must be an arc  $[u_2, v_2] \in M - \{[u_1, v_1]\}$  such that  $u_2, v_2 \in N_D(x_2)$  (if  $k_1(x_2) > 0$ ), or  $u_2, v_2 \in N_D(x_1)$  (if  $k_1(x_1) \geq 2$ ). If there exists a vertex  $x \in X$  with  $k_1(x) = 0$ , then by  $d_D(x) \geq 2k - 2$ , either  $(x, \{u_1, v_1\})_{G(D)} \neq \emptyset$  or  $(x, \{u_2, v_2\})_{G(D)} \neq \emptyset$ . In either case, a contradiction to [Observation 2.4](#) (ii) is obtained. Thus, either  $k_1(x) > 0$  for any  $x \in X$ , or  $k_1(x_1) = 1$  and  $k_1(x) = 0$  for any  $x \in X - \{x_1\}$ .

To complete the proof of (ii), in the following we assume that  $k_1(x) > 0$  for any  $x \in X$ . If  $D \cong D_0$ , then done. Hence we by contradiction assume that  $D \not\cong D_0$ . Define  $S = \bigcup_{x \in X} (M_x^{2,0} \cup M_x^{1,0})$ ,  $m' = \min\{k_1(x) : x \in X\}$  and  $m'' = \sum_{x \in X, k_1(x) > 0} (k_1(x) - 1)$ . Since  $k_1(x) > 0$  for any  $x \in X$ ,  $m' > 0$ . By [Observation 2.4](#) (iii),  $(\bigcup_{x \in X} (M_x^{2,2} \cup M_x^{2,1} \cup M_x^{1,1})) \cup S$  is a disjoint union and is a subset of  $M$ . This, together with  $|X| = n - 2k$ , implies that

$$k = |M| \geq \sum_{x \in X} k_1(x) + |S| = m'' + (n - 2k) + |S|. \tag{5}$$



**Claim 2.7.** We have  $m'' = 0$ ,  $n = 2k + 3$ ,  $|X| = 3$ .

By (5),  $k \geq m'(n - 2k) + |S|$ . Let  $x' \in X$  satisfying  $k_1(x') = m'$ . Then  $4m' + 2|S| \geq d_D(x') \geq 2k - 2$ , and so  $|S| \geq k - 1 - 2m'$ . Hence we have

$$k \geq m'(n - 2k) + |S| \geq m'(n - 2k) + k - 1 - 2m' = m'(n - 2k - 2) + k - 1. \tag{6}$$

With  $n \geq 2k + 3$ , (6) leads to the conclusion that  $1 \geq m'(n - 2k - 2) \geq m' \geq 1$ , forcing  $m' = 1$  and  $n = 2k + 3$ . Thus  $|X| = n - 2k = 3$ . By (5) and by  $|S| \geq k - 1 - 2m' = k - 3$ , we have  $k \geq m'' + 3 + (k - 3) = m'' + k$ . This implies  $m'' = 0$  and proves Claim 2.7.

By Claim 2.7, we may assume that  $X = \{x_1, x_2, x_3\}$ . As  $m'' = 0$ , for any  $x \in X$ ,  $k_1(x) = 1$ . Fix an  $x_i \in X$  for  $1 \leq i \leq 3$ . As  $k_1(x_i) = 1$ , we may assume that  $u_i, v_i \in N_D(x_i)$ , and  $(\{x_i\}, \{v_j\})_{G(D)} = \emptyset$  for any  $j$  with  $j \neq i$ . By Observation 2.4 (ii), we observe that  $(\{x_i\}, \{u_h, v_h\})_{G(D)} = \emptyset$  for any  $1 \leq i \leq 3$  and  $h \neq i$ . This implies that  $4 + 2(k - 3) \geq |(\{x_i\}, \{u_i, v_i\})_{G(D)}| + \sum_{j=4}^k |(\{x_i, u_j\})_{G(D)}| = d_D(x_i) \geq 2k - 2$ , and so we must have  $d_D(x_i) = 2k - 2$ ,  $|(\{x_i\}, \{u_i, v_i\})_{G(D)}| = 4$ , and for  $j$  with  $4 \leq j \leq k$ ,  $|(\{x_i, u_j\})_{G(D)}| = 2$ .

We further claim that  $\{v_1, \dots, v_k\}$  is a stable set in  $D$ . By contradiction, we assume that there exists an arc  $[v_i, v_j] \in A(D)$  for some  $1 \leq i < j \leq k$ . If  $j \leq 3$ , then  $\{[x_i, u_i], [u_i, v_i], [v_i, v_j], [v_j, u_j], [u_j, x_j]\}$  induces an  $M$ -augmenting path in  $D$ . If  $i < 3 < j$ , then choosing an index  $i' \neq i$  and  $1 \leq i' \leq 3$ , then  $\{[x_i, u_i], [u_i, v_i], [v_i, v_j], [v_j, u_j], [u_j, x_{i'}]\}$  induces an  $M$ -augmenting path in  $D$ . If  $i \geq 4$ , then  $\{[x_1, u_1], [u_1, v_1], [v_1, v_j], [v_j, u_j], [u_j, x_2]\}$  induces an  $M$ -augmenting path in  $D$ . In any case, Theorem 2.1 is violated. Hence  $\{v_1, \dots, v_k\}$  must be a stable set.

If  $k \geq 4$ , then  $N_D(v_4) \subseteq \{u_1, u_2, \dots, u_k\}$ . Since  $d_D(v_4) \geq 2k - 2$ , there must be an  $i$  with  $1 \leq i \leq 3$  such that  $[u_i, v_4] \in A(D)$ . Pick  $i' \neq i$  and  $1 \leq i' \leq 3$ . Then  $\{[x_i, v_i], [u_i, v_i], [u_i, v_4], [v_4, u_4], [u_4, x_{i'}]\}$  induces an  $M$ -augmenting path in  $D$ , violating Theorem 2.1. Hence we must have  $k = 3$ . Recall that for each  $i \in \{1, 2, 3\}$ ,  $|(\{x_i\}, \{u_i, v_i\})_{G(D)}| = 4$ . Since  $D \not\cong D_0$  and  $d_D(u_i) \geq 2k - 2 = 4$ , we may assume that, either  $[u_i, v_j] \in A(D)$  or  $[u_i, u_j] \in A(D)$ , for  $1 \leq i, j \leq 3$  with  $i \neq j$ . Once again,  $\{[x_i, v_i], [v_i, u_i], [u_i, v_j], [v_j, u_j], [u_j, x_j]\}$  or  $\{[x_i, v_i], [v_i, u_i], [u_i, u_j], [u_j, v_j], [v_j, x_j]\}$  induces an  $M$ -augmenting path in  $D$ . These contradictions indicate that if  $k_1(x) > 0$  for any  $x \in X$ , then we must have  $D \not\cong D_0$ . This proves Lemma 2.6(ii). ■

**Corollary 2.8.** Let  $k \geq 4$  be an integer,  $D$  be a digraph with  $\lambda(D) \geq \alpha'(D) = k$ ,  $\delta(D) \geq 2k - 2$  and  $n = |V(D)| \geq 2k + 3$ . Then  $J = J(D)$  is connected.

**Lemma 2.9.** Let  $D$  be a digraph with  $k = \alpha'(D) \geq 3$  and  $M$  be a maximum matching of  $D$ . Suppose that for some vertex  $x_1 \in X$ ,  $d_D(x_1) \geq 2k - 1$  with  $k_1(x_1) = 0$ . If  $\delta(D) \geq 2k - 2$ , then there exists a labeling of the vertices of  $V(M)$  such that  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  and each of the following holds.

(i)  $N_D(x_1) = \{u_1, u_2, u_3, \dots, u_k\}$ ,  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ , and there exist at least  $k - 1$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(x_1, u), (u, x_1) \in A(D)$ . Moreover, if  $d_D(x_1) \geq 2k$ , then for any  $u \in \{u_1, u_2, \dots, u_k\}$ , we have  $(x_1, u), (u, x_1) \in A(D)$ .

(ii) For any  $x \in X - \{x_1\}$ ,  $N_D(x) \subseteq \{u_1, u_2, \dots, u_k\}$ ; and there exist at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  satisfying  $(x, u), (u, x) \in A(D)$ .

(iii) The vertex subset  $\{v_1, v_2, \dots, v_k\}$  is a stable set in  $D$ . Furthermore, for each  $v_j$  with  $1 \leq j \leq k$ ,  $N_D(v_j) \subseteq \{u_1, u_2, \dots, u_k\}$  and there exist at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  satisfying  $(v_j, u), (u, v_j) \in A(D)$ .

(iv)  $J$  has at most two components; and if  $\lambda(D) \geq 1$ , then  $D$  is supereulerian.

**Proof.** By Lemma 2.6 (i), for any  $x \in X$ ,  $k_1(x) = 0$ . By Observation 2.4 (i),  $N_D(x_1) \subseteq V(M)$ . Hence by  $d_D(x_1) \geq 2k - 1$  and  $k_1(x_1) = 0$ , we can label  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  so that  $N_D(x_1) = \{u_1, u_2, u_3, \dots, u_k\}$ . Again by  $d_D(x_1) \geq 2k - 1$ , there must be at least  $k - 1$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  satisfying  $(x_1, u), (u, x_1) \in A(D)$ . Similarly, if  $d_D(x_1) \geq 2k$ , then for any  $u \in \{u_1, u_2, \dots, u_k\}$ , we have  $(x_1, u), (u, x_1) \in A(D)$ . It follows by  $N_D(x_1) = \{u_1, u_2, u_3, \dots, u_k\}$  and by Observation 2.4 that  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ . This verifies Lemma 2.9 (i).

By (i),  $N_D(x_1) = \{u_1, u_2, u_3, \dots, u_k\}$ . For any  $x \in X - \{x_1\}$ , by Observation 2.4 (i) and (ii),  $N_D(x) \subseteq \{u_1, u_2, \dots, u_k\}$ . By  $\delta(D) \geq 2k - 2$ ,  $d_D(x) \geq 2k - 2$ , and so there must be at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(x, u), (u, x) \in A(D)$ . This proves Lemma 2.9 (ii).

To prove (iii), we argue by contradiction and assume that for some  $1 \leq i < j \leq k$ , an arc  $[v_i, v_j]$  is in  $A(D)$ . Since  $n \geq 2k + 3$ , there exists a vertex  $x_2 \in X - \{x_1\}$ . By Lemma 2.9 (ii),  $N_D(x_2) \subseteq \{u_1, u_2, \dots, u_k\}$ . As  $d_D(x_2) \geq 2k - 2$ , we may assume that  $u_i \in N_D(x_2)$ , and so  $\{[x_2, u_i], [u_i, v_i], [v_i, v_j], [v_j, u_j], [u_j, x_1]\}$  induced an  $M$ -augmenting path in  $D$ , contrary to Theorem 2.1. Hence  $\{v_1, v_2, \dots, v_k\}$  must be a stable set in  $D$ . Likewise, by Lemma 2.9 (i) and (ii), and arc in  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)}$  will give rise to an  $M$ -augmenting path, contrary to Theorem 2.1. Thus  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ . Consequently, for each  $v_j$  with  $1 \leq j \leq k$ ,  $N_D(v_j) \subseteq \{u_1, u_2, \dots, u_k\}$ . By  $d_D(v_j) \geq 2k - 2$ , there exist at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  satisfying  $(v_j, u), (u, v_j) \in A(D)$ .

To show (iv), we first assume by (i) and by symmetry that for any  $i$  with  $1 \leq i \leq k - 1$ ,  $(x_1, u_i)$  is a symmetric arc in  $D$  and  $[x_1, u_k] \in A(D)$ . Thus  $J$  has a connected component of  $J'$  with  $\{x_1, u_1, \dots, u_{k-1}\} \subseteq V(J')$ . Let  $J''$  denote the connected component of  $J$  with  $u_k \in V(J'')$ . As  $k \geq 3$ , it follows by (ii) that, for every  $x \in X - \{x_1\}$ , either  $x \in V(J')$  or  $x \in V(J'')$ . Similarly, by (iii), for every  $v \in \{v_1, v_2, \dots, v_k\}$ , either  $v \in V(J')$  or  $v \in V(J'')$ . Hence  $J$  has at most two connected components  $J'$  and  $J''$ . It now by Lemma 2.2 (v) that if  $D$  is strong, then  $D$  must be supereulerian. This completes the proof of the lemma. ■

**Lemma 2.10.** Let  $D$  be a digraph with  $k = \alpha'(D) \geq 3$ ,  $\delta(D) \geq 2k - 2$  and let  $M$  be a maximum matching of  $D$  and  $J = J(D)$  be the symmetric core of  $D$ . If for any  $x \in X$ ,  $k_1(x) = 0$ , and if there exists an arc  $e \in M$  with  $(X, V(e))_{G(D)} = \emptyset$ , then there exists a labeling of the vertices of  $V(M)$  with  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  and  $e = [u_k, v_k]$  such that each of the following holds.

- (i)  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ ,  $\{v_1, v_2, \dots, v_{k-1}\}$  is a stable set in  $D$  and  $J$  has a connected component  $J'$  with  $X \cup \{u_1, u_2, \dots, u_{k-1}\} \subseteq V(J')$ .
- (ii) If  $\{v_1, v_2, \dots, v_k\}$  is a stable set in  $D$ , then for any  $j \in \{1, 2, \dots, k\}$ , there exist  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(v_j, u), (u, v_j) \in A(D)$ , and  $J$  has at most two connected components.
- (iii) Suppose that  $\{v_1, v_2, \dots, v_k\}$  is not a stable set in  $D$  and  $[v_{k-1}, v_k] \in A(D)$ . Then  $(u_k, \{v_1, \dots, v_{k-2}\})_{G(D)} = \emptyset$ . Moreover, if  $k \geq 4$ , then  $\{v_1, \dots, v_{k-2}\} \subseteq V(J')$ ; and if  $\lambda(D) \geq 2$ , then  $D$  is supereulerian.

**Proof.** By [Observation 2.4](#) (i), for any  $x \in X$ ,  $N_D(x) \subseteq V(M)$ . As for some  $e \in M$ , we have  $(X, V(e))_{G(D)} = \emptyset$ , and by  $k_1(x) = 0$  and  $d_D(x) \geq 2k - 2$ , we can label  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  with  $e = [u_k, v_k]$  such that for any  $x \in X$ ,  $N_D(x) = \{u_1, u_2, \dots, u_{k-1}\}$ , and for any  $i$  with  $1 \leq i \leq k - 1$ ,  $(x, u_i), (u_i, x) \in A(D)$ . As  $k \geq 3$  and  $|X| = n - 2k \geq 3$ , it follows that  $J$  has a connected component  $J'$  with  $X \cup \{u_1, u_2, \dots, u_{k-1}\} \subseteq V(J')$ . As  $k_1(x) = 0$  for any  $x \in X$ , we conclude that  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ .

We argue by contradiction to show that  $\{v_1, v_2, \dots, v_{k-1}\}$  is a stable set in  $D$ . Suppose that for some  $1 \leq i < j \leq k - 1$ ,  $[v_i, v_j] \in A(D)$ . As  $n - 2k \geq 3$ ,  $D[\{x_1, u_i\}, [u_i, v_i], [v_i, v_j], [v_j, u_j], [u_j, x_2]]$  is an  $M$ -augmenting path, contrary to [Theorem 2.1](#). This proves (i).

In the proof of (ii) and (iii), we let  $J^2, J^3$  and  $J^4$  be connected components of  $J$  such that  $u_k \in V(J^2)$ ,  $v_k \in V(J^3)$  and  $v_{k-1} \in V(J^4)$ .

Assume that  $\{v_1, v_2, \dots, v_k\}$  is a stable set in  $D$ . Fix an arbitrary vertex  $v_j$  with  $1 \leq j \leq k$ . By (i), we have  $N_D(v_j) \subseteq \{u_1, u_2, \dots, u_{k-1}, u_k\}$ , and so by  $\delta(D) \geq 2k - 2$ , there must be at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(v_j, u), (u, v_j) \in A(D)$ . It follows by  $k \geq 3$  and by (i) that either  $v_j \in V(J')$  (if  $u \neq u_k$ ) or  $v_j \in V(J^2)$  (if  $u = u_k$ ). Hence every vertex in  $D$  is either in  $J'$  or in  $J^2$ , and so  $J$  has at most two connected components. This proves (ii).

To prove (iii), we assume by symmetry that  $[v_{k-1}, v_k] \in A(D)$ . Fix a vertex  $v_j$  with  $1 \leq j \leq k - 2$ . If  $[u_k, v_j] \in A(D)$ , then by (i) and by  $n \geq 2k + 3$ ,  $D[\{x_1, u_j\}, [u_j, v_j], [v_j, u_k], [u_k, v_k], [v_k, v_{k-1}], [v_{k-1}, u_{k-1}], [u_{k-1}, x_2]]$  is an  $M$ -augmenting path, contrary to [Theorem 2.1](#). Hence  $(u_k, v_j)_{G(D)} = \emptyset$ . This proves that  $(u_k, \{v_1, \dots, v_{k-2}\})_{G(D)} = \emptyset$ , and so  $N_D(v_j) \subseteq \{u_1, \dots, u_{k-1}, v_k\}$ . By  $d_D(v_j) \geq 2k - 2$ , there exist at least  $k - 2$  vertices  $u' \in \{u_1, \dots, u_{k-1}, v_k\}$  such that  $(u', v_j), (v_j, u') \in A(D)$ . If  $k \geq 4$  then  $u' \in \{u_1, \dots, u_{k-1}\} \subseteq V(J')$ , and so  $v_j \in V(J')$ . Thus  $\{v_1, \dots, v_{k-2}\} \subseteq V(J')$ .

In the following, we assume that  $\lambda(D) \geq 2$  to prove the following claim, which completes the proof of the lemma.

**Claim 2.11.** Under the assumption of [Lemma 2.10](#) (iii), if  $\lambda(D) \geq 2$ , then each of the following holds.

- (a) If  $k \geq 5$ , then  $J$  has at most two components, and so by [Lemma 2.2](#)(v),  $D$  is supereulerian.
- (b) If  $[u_k, v_{k-1}] \in A(D)$ , then  $(\{v_k\}, \{v_1, \dots, v_{k-2}\})_{G(D)} = \emptyset$ .
- (c) If  $k = 4$ , then  $J$  has at most two components, and so by [Lemma 2.2](#)(v),  $D$  is supereulerian.
- (d) If  $k = 3$ , then  $J$  has a symmetric subdigraph  $J_0$  such that  $G(D - V(J_0))$  is spanned by a 3-cycle, and so by [Lemma 2.2](#) (vii),  $D$  is supereulerian.

Assume that  $k \geq 5$ . If  $J^2 = J^3 = J^4$ , then  $J$  has at most two components. Hence we assume that either  $J^2 \neq J^3$ , whence  $|(\{u_k\}, \{v_k\})_{G(D)}| \leq 1$ ; or  $J^2 \neq J^4$ , whence  $|(\{u_k\}, \{v_{k-1}\})_{G(D)}| \leq 1$ . Since  $(u_k, \{v_1, \dots, v_{k-2}\})_{G(D)} = \emptyset$  and  $(X, \{u_k, v_k\})_{G(D)} = \emptyset$ , we have  $N_D(u_k) \subseteq \{u_1, \dots, u_{k-1}, v_{k-1}, v_k\}$ . This, together with  $d_D(u_k) \geq 2k - 2$ , implies that  $|(\{u_k, \{u_1, \dots, u_{k-1}\})_{G(D)}| \geq 2k - 5$ , and so there exists at least  $k - 4$  vertices  $u'' \in \{u_1, \dots, u_{k-1}\}$  such that  $(u_k, u''), (u'', u_k) \in A(D)$ . As  $k \geq 5$ ,  $u_k \in V(J')$ . Similarly, by (i),  $N_D(v_{k-1}) \subseteq \{u_1, \dots, u_{k-1}, u_k, v_k\}$  and so  $|(\{v_{k-1}, \{u_1, \dots, u_{k-1}, u_k\})_{G(D)}| \geq 2k - 4$ . Again by  $k \geq 5$ , there exists at least  $k - 4$  vertices  $u^3 \in \{u_1, \dots, u_{k-1}, u_k\}$  such that  $(v_{k-1}, u^3), (u^3, v_{k-1}) \in A(D)$ , and so  $v_{k-1} \in V(J')$ . This indicates that  $V(D) - V(J') \subseteq \{v_k\}$ , and so [Claim 2.11](#) (a) follows.

By contradiction, we assume that  $[u_k, v_{k-1}], [v_j, v_k] \in A(D)$  for some  $j \in \{1, 2, \dots, k - 2\}$ . Then  $\{[x_1, u_j], [u_j, v_j], [v_j, v_k], [v_k, u_k], [u_k, v_{k-1}], [v_{k-1}, u_{k-1}], [u_{k-1}, x_2]\}$  induces an  $M$ -augmenting path in  $D$ , contrary to [Theorem 2.1](#). Hence (b) holds.

Assume that  $k = 4$ . Then  $v_1, v_2 \in V(J')$  and  $(u_k, \{v_1, v_2\})_{G(D)} = \emptyset$ . Hence  $N_D(u_4) \subseteq \{u_1, u_2, u_3, v_3, v_4\}$ . Since  $d_D(u_4) \geq 6$ , for some  $w \in \{u_1, u_2, u_3, v_3, v_4\}$ , both  $(w, u_4), (u_4, w) \in A(D)$ . Hence either  $J^2 = J'$  (if  $w \in \{u_1, u_2, u_3\}$ ), or  $J^2 = J^3$  (if  $w = v_4$ ), or  $J^2 = J^4$  (if  $w = v_3$ ), and so  $J$  has at most three connected components  $J', J^3$  and  $J^4$ . Similarly,  $N_D(v_3) \subseteq \{u_1, u_2, u_3, u_4, v_4\}$ . As  $d_D(v_3) \geq 6$ , for some  $w' \in \{u_1, u_2, u_3, u_4, v_4\}$ , both  $(w', v_3), (v_3, w') \in A(D)$ . Hence either  $J^2 = J^4 = J'$ , or  $J^2 = J^4 = J^3$ , or  $J^2 = J^4$  with  $V(J^4) \cap (V(J') \cup V(J^3)) = \emptyset$ . It follows that either  $J$  has at most two connected components  $J'$  and  $J^3$ , or  $J^2 = J^4$  and  $J$  has at most three connected components  $J', J^3$  and  $J^4$ . When  $J^2 = J^4$ , we have  $[u_4, v_3] \in A(D)$ , and so by (b),  $N_D(v_4) \subseteq \{u_1, u_2, u_3, u_4, v_3\}$ . By  $d_D(v_4) \geq 6$ , we must have  $J^3 = J'$  or  $J^3 = J^4$  and so  $J$  has at most two connected components  $J'$  and  $J^4$ . This proves (c).

We now assume that  $k = 3$ . Assume first that  $(u_3, v_2)_{G(D)} = \emptyset$ . Then for each  $z \in \{v_1, v_2, u_3\}$ , as  $N_D(z) \subseteq \{u_1, u_2, v_3\}$ ,  $z \in V(J')$  or  $z \in V(J^3)$ . Hence  $J$  has at most two connected components  $J'$  and  $J^3$ . and so by [Lemma 2.2](#) (v),  $D$  is supereulerian. Therefore, we assume that  $[u_3, v_2] \in A(D)$ . By (b),  $|(\{v_1\}, \{v_3\})_{G(D)}| = 0$ . By (i),  $|(\{v_1\}, \{v_2\})_{G(D)}| = 0$ . Hence  $N_D(v_1) \subseteq \{u_1, u_2\}$ . By  $d_D(v_1) \geq 4$ ,  $(v_1, u_1), (u_1, v_1) \in A(D)$ , and so  $v_1 \in V(J')$ . Let  $J_0 = J'[V(D) - \{v_1, u_1, u_2\}]$ . As  $[u_3, v_2], [v_2, v_3], [u_3, v_3] \in A(D)$ , it follows from  $\lambda(D) \geq 2$  and [Lemma 2.2](#) (vii) that  $D$  is supereulerian. This completes the justification of [Claim 2.11](#). ■

**Lemma 2.12.** Let  $D$  be a digraph with  $k = \alpha'(D) \geq 3$  and  $\delta(D) \geq 2k - 2$ , and  $M$  be a maximum matching of  $D$ . If for any  $x \in X$ ,  $k_1(x) = 0$  and for any arc  $e \in M$ ,  $(X, V(e))_{G(D)} \neq \emptyset$ , then there exists a labeling of the vertices of  $V(M)$  such that  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$ ,  $N_D(X) = \{u_1, u_2, \dots, u_k\}$ , and each of the following holds.

- (i)  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ , and for any  $x \in X$ , there exist at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(x, u), (u, x) \in A(D)$ .
- (ii)  $\{v_1, v_2, \dots, v_k\}$  is a stable set in  $D$ , and for any  $v_j$  with  $1 \leq j \leq k$ , there exist at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(u, v_j), (v_j, u) \in A(D)$ .
- (iii) If  $\lambda(D) \geq 2$ , then  $D$  is supereulerian.

**Proof.** For any vertex  $x \in X$ , by [Observation 2.4](#) (i),  $N_D(x) \subseteq V(M)$ ; by assumption,  $k_1(x) = 0$  and

$$\text{for any arc } e \in M, (X, V(e))_{G(D)} \neq \emptyset. \tag{7}$$

This, together with [Observation 2.4](#) (ii), implies that every arc in  $M$  has exactly one vertex in  $N_D(X)$ . Thus we can denote  $V(M) \cap N_D(X) = \{u_1, u_2, \dots, u_k\}$  and  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$ . This labeling of vertices in  $V(M)$  implies that  $N_D(X) = \{u_1, u_2, \dots, u_k\}$ , and so  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ . Fix an  $x \in X$ . Since  $d_D(x) \geq 2k - 2$ , for at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$ , both  $(u, x)$  and  $(x, u)$  are in  $A(D)$ . Thus (i) holds.

By contradiction, assume that  $\{v_1, v_2, \dots, v_k\}$  is not a stable set in  $D$ . By symmetry, we may assume that  $[v_1, v_2] \in A(D)$ . For  $i$  with  $1 \leq i \leq k$ , let  $X_i = X \cap N_D(u_i)$ . By (7),  $X_i \neq \emptyset$ , and so there exists a vertex  $x_1 \in X_1$ . If there exists a vertex  $x_2 \in X_2 - \{x_1\}$ , then  $D[\{x_1, u_1\}, [u_1, v_1], [v_1, v_2], [v_2, u_2], [u_2, x_2]]$  is an  $M$ -augmenting path, contrary to [Theorem 2.1](#). Hence  $X_2 = \{x_1\}$ . By the same argument, we conclude that  $X_1 = X_2 = \{x_1\}$ . Since  $n \geq 2k + 3$ , we have  $|X| \geq 3$ , and so  $X - \{x_1\} \neq \emptyset$ . For any vertex  $x \in X - \{x_1\}$ , as  $N_D(x) \subseteq \{u_1, u_2, \dots, u_k\}$  and  $X_1 = X_2 = \{x_1\}$ , we conclude that  $N_D(x) \subseteq \{u_3, u_4, \dots, u_k\}$ , which implies that  $2k - 2 = 2\lambda(D) \leq d_D(x) \leq 2(k - 2)$ , a contradiction. Thus  $\{v_1, v_2, \dots, v_k\}$  must be a stable set in  $D$ .

Fix a vertex  $v_j$  with  $1 \leq j \leq k$ . By (i),  $(X, \{v_1, v_2, \dots, v_k\})_{G(D)} = \emptyset$ . As  $\{v_1, v_2, \dots, v_k\}$  is a stable set, we must have  $N_D(v_j) \subseteq \{u_1, u_2, \dots, u_k\}$ . Since  $\delta(D) \geq 2k - 2$ , there exist at least  $k - 2$  vertices  $u \in \{u_1, u_2, \dots, u_k\}$  with  $(u, v_j), (v_j, u) \in A(D)$ . This proves (ii).

We now assume that  $\lambda(D) \geq 2$ . By contradiction, we assume that  $D$  is not supereulerian. Pick a vertex  $x_1 \in X$  and let  $J_1$  be the connected component of  $J$  with  $x_1 \in V(J_1)$ . By (i), we may assume that  $u_1, \dots, u_{k-2} \in V(J_1)$ . Let  $J_2$  and  $J_3$  be connected components of  $J$  with  $u_{k-1} \in V(J_2)$  and  $u_k \in V(J_3)$ . By (i) and (ii), and by  $k \geq 3$ , for every vertex  $v \in X \cup \{v_1, v_2, \dots, v_k\}$ , there exists an  $i \in \{1, 2, 3\}$  such that either  $v \in V(J_i)$ . It follows that  $J$  has at most three connected components  $J_1, J_2$  and  $J_3$ . By [Lemma 2.2](#) (v), if  $J$  has at most two connected components, then  $D$  is supereulerian. Hence  $J$  must have exactly three components  $J_1, J_2$  and  $J_3$ .

**Case 1.**  $k \geq 4$ .

If there exists a vertex  $v \in X \cup \{v_1, v_2, \dots, v_k\}$  such that for distinct  $i, j \in \{1, 2, 3\}$ ,  $v \in V(J_i) \cup V(J_j)$ , then as  $k - 2 \geq 2$ , we have either  $J_1 = J_2$ , or  $J_1 = J_3$ , or  $J_2 = J_3$ , contrary to the assumption that  $J$  has exactly three components. Therefore, for any  $k \geq 4$ , we have

$$V(J_1) = V(D) - \{u_{k-1}, u_k\}, V(J_2) = \{u_{k-1}\} \text{ and } V(J_3) = \{u_k\}. \tag{8}$$

Thus for any  $x \in X$ , and  $u \in \{u_1, \dots, u_{k-2}\}$  and any  $v \in \{v_1, v_2, \dots, v_k\}$ , the arcs  $(x, u), (u, v)$  are symmetric in  $D$ . As  $\delta(D) \geq 2k - 2$ , we conclude that for any  $v \in X \cup \{v_1, v_2, \dots, v_k\}$ ,  $d_D(v) = 2k - 2$  and  $|(v, u_{k-1})_{G(D)}| = |(v, u_k)_{G(D)}| = 1$ . If  $[u_{k-1}, u_k] \in A(D)$ , then by  $\lambda(D) > 0$  and by [Lemma 2.2](#) (iv),  $D$  is supereulerian. Thus  $(u_{k-1}, u_k)_{G(D)} = \emptyset$ . If  $D - A(J_1)$  has a cycle  $C$  containing both  $u_{k-1}$  and  $u_k$ , then  $D[A(J_1) \cup D(C)]$  is a spanning closed trail of  $D$ , and so  $D$  is supereulerian. Hence we assume  $D - A(J_1)$  does not have a cycle or disjoint cycles containing both  $u_{k-1}$  and  $u_k$ .

Since  $\lambda(D) \geq 2$ , there exist vertices  $v^-, v^+, w^-, w^+ \in V(J_1)$  such that

$$(v^-, u_{k-1}), (w^-, u_k), (u_{k-1}, v^+), (u_k, w^+) \in A(D). \tag{9}$$

Since  $J_1, J_2$  and  $J_3$  are distinct components of  $J$ , thus, we assume that  $w^- \neq w^+$  and  $v^- \neq v^+$ .

If  $v^-, w^+ \in X \cup \{v_1, \dots, v_k\}$ , then  $(w^+, u_1), (u_1, w^+), (u_1, v^-), (v^-, u_1) \in A(J_1)$ . Let  $J'_1 = J_1 - \{(w^+, u_1), (u_1, w^+), (u_1, v^-), (v^-, u_1)\}$ . As  $|X| \geq 3$  and  $k \geq 4$ ,  $J'_1$  is a connected symmetric subdigraph of  $D$ , and by (9),  $D - A(J'_1)$  has a trail  $w^-u_k w^+ u_1 v^- u_{k-1} v^+$ . By [Lemma 2.2](#) (iv) with  $J' = J'_1$ ,  $D$  is supereulerian.

Suppose that  $|\{u_1, \dots, u_{k-2}\} \cap \{v^-, w^+\}| = 1$  and  $|(X \cup \{v_1, \dots, v_k\}) \cap \{v^-, w^+\}| = 1$ . By symmetry, we assume that  $v^- = u_1$  and  $w^+ \in X \cup \{v_1, \dots, v_k\}$ . As  $(w^+, u_1) \in A(J_1)$  is symmetric arcs of  $D$ . Let  $J'_2 = J_1 - \{(w^+, u_1), (u_1, w^+)\}$ . As  $|X| \geq 3$  and  $k \geq 4$ ,  $J'_2$  is a connected symmetric subdigraph of  $D$ , and by (9),  $D - A(J'_2)$  has a trail  $w^-u_k w^+ u_1 u_{k-1} v^+$ . It follows from [Lemma 2.2](#) (iv) with  $J' = J'_2$  that  $D$  is supereulerian. Hence we may assume that  $v^-, w^+ \in \{u_1, \dots, u_{k-2}\}$ . By (8),  $(w^+, x_1), (x_1, v^-) \in A(J_1)$  are symmetric arcs of  $D$ . As  $|X| \geq 3$  and  $k \geq 4$ ,  $J_1 - x_1$  is a connected symmetric subdigraph of  $D$ , and by (9),  $D - A(J_1 - x_1)$  has a trail  $w^-u_k w^+ x_1 v^- u_{k-1} v^+$ . By [Lemma 2.2](#) (iv) with  $J' = J_1 - x_1$ ,  $D$  is supereulerian.



**Case 2.**  $k = 3$ .

By definition, for each  $i \in \{1, 2, 3\}$ ,  $u_i \in V(J_i)$ . By relabeling the vertices  $u_1, u_2$  and  $u_3$ , we assume that  $u_i \in V(J_i)$ . By (ii) and by  $\delta(D) \geq 4$ , every  $v_i$  is adjacent to a  $u_j$  by a pair of symmetric arcs. Therefore, we may relabel  $v_1, v_2, v_3$  and assume that  $(u_i, v_i) \in A(J_i)$  is a symmetric arc of  $D$ .

Let  $D' = D/J$ , and denote  $V(D') = \{z_1, z_2, z_3\}$ , where  $z_i \in V(D')$  be the vertex onto which  $J_i$  is contracted. If  $D'$  has a hamiltonian cycle, then by Lemma 2.2 (v),  $D$  is supereulerian. Hence we may assume that  $D$  is not Hamiltonian. By (i), (ii),  $\lambda(D) \geq 2$ , and the fact that for  $i \in \{1, 2, 3\}$ ,  $d_D(v_i) = 4$ , we observe that

$$\text{if } \{i', i'', i'''\} = \{1, 2, 3\}, \text{ then } |(v_{i'}, \{u_{i''}, u_{i'''}\})_D| = 1 \text{ and } |(\{u_{i''}, u_{i'''}\}, v_{i'})_D| = 1. \tag{10}$$

By (10) and by symmetry, we assume that  $(v_1, u_2), (u_3, v_1) \in A(D)$ . Thus  $(z_1, z_2), (z_3, z_1) \in A(D')$ . As  $D'$  is not hamiltonian, we assume that  $(z_2, z_3) \notin A(D')$ . By (10) and since  $(z_2, z_3) \notin A(D')$ , we conclude that  $(u_3, v_2), (v_3, u_2) \in A(D)$ . These force, by (10), that  $(v_2, u_1), (u_1, v_3) \in A(D)$ . As  $(u_1, v_3), (v_3, u_2), (v_2, u_1) \in A(D)$ , it follows that  $D'$  must be hamiltonian, a contradiction. This proves that in Case 2,  $D$  is also supereulerian. This completes the proof of the lemma. ■

**Lemma 2.13.** *Let  $k \geq 3$  be an integer,  $D$  be a digraph with  $k = \alpha'(D) \geq 3$ ,  $\delta(D) \geq 2k - 2$ , and  $M$  be a maximum matching of  $D$ . Suppose that for some  $x_1 \in X$ ,  $k_1(x_1) > 0$ . Then each of the following holds.*

(i) *Either  $D \cong D_0$ , or  $J$  has a connected component  $J'$  such that the subdigraph  $D_1 = D - V(J')$  satisfies  $|V(D_1)| \leq 3$  and that  $G(D_1)$  is spanned by a 3-cycle or a  $K_2$ .*

(ii) *If, in addition,  $\lambda(D) \geq 2$ , then  $D$  is supereulerian.*

**Proof.** As  $k_1(x_1) > 0$ , there exists an arc  $e = [u_1, v_1] \in M$  with  $u_1, v_1 \in N_D(x_1)$ . By Lemma 2.6 (ii),  $D \cong D_0$ , or  $k_1(x_1) = 1$  and  $k_1(x) = 0$  for any  $x \in X - \{x_1\}$ . Thus to prove (i), it suffices to assume that  $k_1(x_1) = 1$  and  $k_1(x) = 0$  for any  $x \in X - \{x_1\}$  to show that the desired  $J'$  and  $D_1$  exist.

Fix a vertex  $x \in X - \{x_1\}$ . By Observation 2.4 (ii),  $N_D(x) \subseteq V(M) - \{u_1, v_1\}$ ; and by  $k_1(x) = 0$ , for any  $e \in M$ ,  $|N_D(x) \cap V(e)| \leq 1$ . Hence we can label  $M = \{[u_1, v_1], [u_2, v_2], \dots, [u_k, v_k]\}$  such that  $N_D(x) \subseteq \{u_2, \dots, u_k\}$ . By  $\delta(D) \geq 2k - 2$ , we conclude that for any  $u_i$  with  $2 \leq i \leq k$ ,  $(x, u_i), (u_i, x) \in A(D)$ . It follows that  $J$  has a connected component  $J'$  such that  $(X - \{x_1\}) \cup \{u_2, \dots, u_k\} \subseteq V(J')$ .

We claim that  $\{v_1, v_2, \dots, v_k\}$  is a stale set. Assume by contradiction that for some  $1 \leq i < j \leq k$ ,  $[v_i, v_j] \in A(D)$ . If  $i = 1$ , then  $D[\{[x_1, u_1], [u_1, v_1], [v_1, v_j], [v_j, u_j], [u_j, x_2]\}]$  is an  $M$ -augmenting path; If  $i > 1$ , then  $D[\{[x_2, u_i], [u_i, v_i], [v_i, v_j], [v_j, u_j], [u_j, x_3]\}]$  is an  $M$ -augmenting path. In either case, a contradiction to Theorem 2.1 is obtained. Hence  $\{v_1, v_2, \dots, v_k\}$  is a stable set.

Fix a vertex  $v_j$  with  $2 \leq j \leq k$ . If  $[u_1, v_j] \in A(D)$ , then  $\{[x_1, v_1], [v_1, u_1], [u_1, v_j], [v_j, u_j], [u_j, x_2]\}$  induces an  $M$ -augmenting path in  $D$ , contrary to Theorem 2.1. Hence  $(u_1, \{v_2, \dots, v_k\})_{G(D)} = \emptyset$  and so  $N_D(v_j) \subseteq \{u_2, \dots, u_k\}$ . As  $d_D(v_j) \geq 2k - 2$ , we conclude that for any  $u \in \{u_2, \dots, u_k\}$  with  $(u, v_j), (v_j, u) \in A(D)$ , and so  $(X - \{x_1\}) \cup \{u_2, \dots, u_k\} \cup \{v_2, \dots, v_k\} \subseteq V(J')$ . As  $[x_1, u_1], [x_1, v_1], [u_1, v_1] \in A(D)$ , Lemma 2.13 (i) is justified.

By Lemma 2.13 (i) and since  $\lambda(D) \geq 2$ , we observe that  $D \not\cong D_0$  and so  $J(D)$  has a connected component  $J'$  such that the subdigraph  $D_1 = D - V(J')$  satisfies  $|V(D_1)| \leq 3$  and that  $G(D_1)$  is spanned by a 3-cycle or a  $K_2$ . If  $G(D_1)$  is spanned by a 3-cycle, then by Lemma 2.2 (vii),  $D$  is supereulerian. If  $G(D_1)$  is spanned by a  $K_2$ , then by Lemma 2.2 (iv),  $D$  is supereulerian. Hence Lemma 2.13 (ii) holds. ■

**3. Spanning trails in digraphs**

Let  $D$  be a digraph and let  $X$  denote a set of arcs not in  $A(D)$  satisfying  $\cup_{e \in X} V(e) \subseteq V(D)$ . Define  $D + X$  to be the digraph with vertex set  $V(D)$  and arc set  $A(D) \cup X$ . If  $X \subseteq A(D)$  (or  $X \subseteq V(D)$ , respectively), then define  $D - X = D[A(D) - X]$  (or  $D - X = D[V(D) - X]$ , respectively). We often use  $D + e$  for  $D + \{e\}$ ,  $D - e$  for  $D - \{e\}$  and  $D - v$  for  $D - \{v\}$ .

**3.1. Spanning trails in digraphs with small matching numbers**

In this subsection, we will identify a family  $\mathcal{D}(n)$  of digraphs, and use it to prove Theorem 1.3 (i). We start with some examples.

**Example 3.1.** Let  $n, t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3$  be nonnegative integers with  $n = 2 + t_1 + t'_1 + t''_1 + t_2 + t'_2 + t''_2 + t_3$ . Define mutually disjoint vertex sets  $X, Y$  and  $Z$  as follows,

$$\begin{aligned} X &= \{x_1, x_2, \dots, x_{t_1}, x'_1, x'_2, \dots, x'_{t'_1}, x''_1, x''_2, \dots, x''_{t''_1}\}, \\ Y &= \{y_1, y_2, \dots, y_{t_2}, y'_1, y'_2, \dots, y'_{t'_2}, y''_1, y''_2, \dots, y''_{t''_2}\}, \\ Z &= \{z_1, z_2, \dots, z_{t_3}\}, \end{aligned}$$

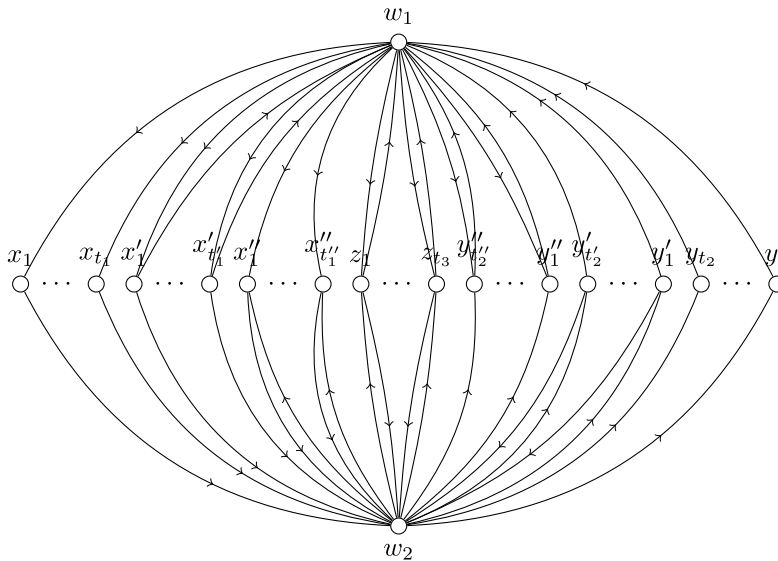


Fig. 1. Digraph  $D(t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3)$ .

and  $w_1, w_2$  be two vertices not in  $X \cup Y \cup Z$ ; and define mutually disjoint arc sets  $A_X, A_Y$  and  $A_Z$  as follows,

$$\begin{aligned}
 A_X &= \left( \bigcup_{i=1}^{t_1} \{(w_1, x_i), (x_i, w_2)\} \right) \cup \left( \bigcup_{i=1}^{t'_1} \{(w_1, x'_i), (x'_i, w_1), (x'_i, w_2)\} \right) \\
 &\quad \cup \left( \bigcup_{i=1}^{t''_1} \{(w_1, x''_i), (w_2, x''_i), (x''_i, w_2)\} \right), \\
 A_Y &= \left( \bigcup_{i=1}^{t_2} \{(w_2, y_i), (y_i, w_1)\} \right) \cup \left( \bigcup_{i=1}^{t'_2} \{(w_2, y'_i), (y'_i, w_2), (y'_i, w_1)\} \right) \\
 &\quad \cup \left( \bigcup_{i=1}^{t''_2} \{(w_2, y''_i), (w_1, y''_i), (y''_i, w_1)\} \right), \\
 A_Z &= \bigcup_{i=1}^{t_3} \{(w_1, z_i), (z_i, w_1), (w_2, z_i), (z_i, w_2)\}.
 \end{aligned}
 \tag{11}$$

Define a digraph  $D = D(t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3)$  with  $V(D) = \{w_1, w_2\} \cup X \cup Y \cup Z$  and arc set  $A(D) = A_X \cup A_Y \cup A_Z$ . (See Fig. 1.)

**Observation 3.2.** Let  $D = D(t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3)$  with that  $n \geq 4$  and  $\lambda(D) > 0$ . Then each of the following holds.

- (i)  $D$  is supereulerian if and only if both  $t_1 \leq t_2 + t'_2 + t''_2 + t_3$  and  $t_2 \leq t_1 + t'_1 + t''_1 + t_3$ .
- (ii)  $D$  has a spanning trail if and only if one of the following holds.

$$\text{both } t_1 \leq t_2 + t'_2 + t''_2 + t_3 + 1 \text{ and } t_2 \leq t_1 + t'_1 + t''_1 + t_3; \tag{12}$$

$$\text{both } t_1 \leq t_2 + t'_2 + t''_2 + t_3 \text{ and } t_2 \leq t_1 + t'_1 + t''_1 + t_3 + 1. \tag{13}$$

**Proof.** We are to justify the conclusions of **Observation 3.2**. By inspection, the conclusions (i) and (ii) holds if  $n = 4$ . Thus we assume that  $n \geq 5$ . Let  $J = J(D)$  be the symmetric core of  $D$ .

We assume that both  $t_1 \leq t_2 + t'_2 + t''_2 + t_3$  and  $t_2 \leq t_1 + t'_1 + t''_1 + t_3$  to show by induction on  $t_1 + t_2$  that  $D$  is supereulerian. If  $t_1 + t_2 = 0$ , then  $J$  has at most two connected components, and so by **Lemma 2.2** (v),  $D$  is supereulerian. Assume that  $t_1 + t_2 > 0$  and that for smaller values of  $t_1 + t_2$ ,  $D$  is supereulerian. By symmetry, we may assume that  $t_1 \geq t_2$ , and so  $t_1 > 0$ . If  $t_2 > 0$ , then let  $D_1 = D - \{x_1, y_1\}$ . Then as  $D_1 = D(t_1 - 1, t'_1, t''_1, t_2 - 1, t'_2, t''_2, t_3)$ , by induction,  $D_1$  has a spanning eulerian subdigraph  $H_1$ , and so  $D[A(H_1) \cup \{(w_1, x_1), (x_1, w_2), (w_2, y_1), (y_1, w_1)\}]$  is a spanning eulerian

subdigraph of  $D$ . Hence we assume that  $t_2 = 0$ . Since  $t_1 \leq t_2 + t'_2 + t''_2 + t_3 = t'_2 + t''_2 + t_3$ , there exists a  $v \in \{y'_1, y'_2, \dots, y'_{t'_2}, y''_1, y''_2, \dots, y''_{t''_2}, z_1, z_2, \dots, z_{t_3}\}$  such that  $(w_2, v), (v, w_1) \in A(D)$ . Let  $D_2 = D - \{x_1, v\}$ . By induction,  $D_2$  has a spanning eulerian subdigraph  $H_2$ , and so  $D[A(H_2) \cup \{(w_1, x_1), (x_1, w_2), (w_2, v), (v, w_1)\}]$  is a spanning eulerian subdigraph of  $D$ .

Conversely, we assume that  $D$  has a spanning eulerian subdigraph  $H$ . We again argue by induction on  $t_1 + t_2$  to show that both  $t_1 \leq t_2 + t'_2 + t''_2 + t_3$  and  $t_2 \leq t_1 + t'_1 + t''_1 + t_3$ . As these inequalities hold when  $t_1 = t_2 = 0$ , we assume by symmetry, that  $t_1 \geq t_2$  and  $t_1 > 0$ . If  $t_2 > 0$ , then  $(w_1, x_1), (x_1, w_2), (w_2, y_1), (y_1, w_1) \in A(H)$ , and so  $H - \{x_1, y_1\}$  is a spanning eulerian subdigraph of  $D - \{x_1, y_1\}$ , and so by induction,  $t_1 - 1 \leq (t_2 - 1) + t'_2 + t''_2 + t_3$  and  $t_2 - 1 \leq (t_1 - 1) + t'_1 + t''_1 + t_3$ . Hence we assume that  $t_2 = 0$ . As  $H$  is a spanning eulerian subdigraph, there must be a  $v \in \{y'_1, y'_2, \dots, y'_{t'_2}, y''_1, y''_2, \dots, y''_{t''_2}, z_1, z_2, \dots, z_{t_3}\}$  such that  $(w_2, v), (v, w_1) \in A(H)$ . Let  $H'$  denote the nontrivial component of  $H - \{(w_1, x_1), (x_1, w_2), (w_2, v), (v, w_1)\}$  and  $D'$  the nontrivial component of  $D - \{(w_1, x_1), (x_1, w_2), (w_2, v), (v, w_1)\}$ . Then  $H'$  is a spanning eulerian subdigraph of  $D'$ , and so by induction, we have  $t_2 = 0$  and  $t_1 - 1 \leq t'_2 + t''_2 + t_3 - 1$ . Hence (i) holds by induction.

To prove (ii), it suffices to investigate spanning trails in a nonsupereulerian  $D$ . By (i), any strong digraph  $D(0, t'_1, t''_1, 0, t'_2, t''_2, t_3)$  is supereulerian, and so we assume that  $\max\{t_1, t_2\} > 0$ . We make the following claim.

**Claim 3.3.** Let  $D = D(t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3)$  with  $\lambda(D) > 0$  be a nonsupereulerian digraph. If  $D$  has a spanning trail, then  $D$  has a spanning  $(u, v)$ -trail  $T$  satisfying

$$\text{both } u \in \{x_1, x_2, \dots, x_{t_1}\} \text{ and } v = w_2, \text{ or both } u \in \{y_1, y_2, \dots, y_{t_2}\} \text{ and } v = w_1. \tag{14}$$

**Proof.** Since  $D$  is not supereulerian, by Observation 3.2 (i),  $\max\{t_1, t_2\} > 0$ , we may assume that  $t_1 > 0$ . Let  $T'$  be a spanning  $(u', v')$ -trail of  $D$ . We will construct a spanning trail satisfying (14) from the following cases.

We note that as  $T'$  is a  $(u', v')$ -trail, we have

$$d_{T'}^+(u') - d_{T'}^-(u') = 1 \text{ and } d_{T'}^-(v') - d_{T'}^+(v') = 1. \tag{15}$$

**Case 1**  $\{u', v'\} = \{w_1, w_2\}$ .

If  $u' = v'$ , then  $D$  is supereulerian, contrary to the assumption of Claim 3.3. If  $T'$  is a  $(w_1, w_2)$ -trail and  $d_{T'}^+(w_1) \geq 2$ , then  $T' - (w_1, x_1)$  is a spanning  $(x_1, w_2)$ -trail of  $D$  satisfying (14). If  $T'$  is a  $(w_1, w_2)$ -trail and  $d_{T'}^+(w_1) = 1$ , then there exists a vertex  $y \in X \cup Y \cup Z$  such that  $(y, w_2) \in A(T')$  and  $(y, w_1) \in A(D) - A(T')$ , so  $T' - (y, w_2) + (y, w_1)$  is an eulerian subdigraph of  $D$ , contrary to the assumption of Claim 3.3. The proof for the case when both  $T'$  is a  $(w_2, w_1)$ -trail and  $t_2 > 0$  is similar and so it is omitted. Hence we assume that  $T'$  is a  $(w_2, w_1)$ -trail and  $t_2 = 0$ . As  $t_1 > 0, (w_1, x_1), (x_1, w_2) \in A(T')$ . Since  $n \geq 4$  and  $T'$  is spanning in  $D$ , there must be a vertex  $y \in V(D)$  such that  $(w_2, y), (y, w_1) \in A(T')$ . It follows that  $y \in Y \cup Z$  and  $T' - y$  is an eulerian subdigraph of  $D$ . Since  $t_2 = 0$ , we have  $y \in \{y'_1, y'_2, \dots, y'_{t'_2}, y''_1, y''_2, \dots, y''_{t''_2}\} \cup Z$ , and so  $y$  is incident with a pair of symmetric arcs  $(y, w), (w, y)$  for some  $w \in \{w_1, w_2\}$ . It follows that  $(T' - y) + \{(y, w), (w, y)\}$  is a spanning closed trail of  $D$ , contrary to the assumption of Claim 3.3.

**Case 2** Both  $u' \in \{w_1, w_2\}$  and  $v' \in X \cup Y \cup Z$ , or both  $u' \in X \cup Y \cup Z$  and  $v' \in \{w_1, w_2\}$ .

Suppose first that  $u' \in \{w_1, w_2\}$  and  $v' \in X \cup Y \cup Z$ . If  $d_{T'}^-(v') = 1$ , then by (15), for some  $i \in \{1, 2\}, (v', w_i) \in A(D) - A(T')$ . It follows that  $T' + (v', w_i)$  is a spanning  $(u', w_i)$ -trail. By Case 1, we are done. Hence we assume that  $d_{T'}^-(v') = 2$ . Then by (15) and by (11), for some  $i' \in \{1, 2\}, (w_1, v'), (w_2, v'), (v', w_{i'}) \in A(T')$ . It follows that  $T' - (w_{3-i'}, v')$  is a spanning  $(u', w_{3-i'})$ -trail. By Case 1, we are done. The proof for the case when both  $u' \in X \cup Y \cup Z$  and  $v' \in \{w_1, w_2\}$  is similar and so it is omitted.

**Case 3**  $u', v' \in X \cup Y \cup Z$ .

By (15), either  $d_{T'}^+(u') = 1$  and for some  $j_1 \in \{1, 2\}, (w_{j_1}, u') \in A(D) - A(T')$ , or  $d_{T'}^+(u') = 2$  and for some  $j_2 \in \{1, 2\}, (u', w_1), (u', w_2), (w_{j_2}, u') \in A(T')$ . Likewise, either  $d_{T'}^-(v') = 1$  and for some  $j_3 \in \{1, 2\}, (v', w_{j_3}) \in A(D) - A(T')$ , or  $d_{T'}^-(v') = 2$  and for some  $j_4 \in \{1, 2\}, (w_1, v'), (w_2, v'), (v', w_{j_4}) \in A(T')$ . It follows that

$$T'' = \begin{cases} T' + \{(w_{j_1}, u'), (v', w_{j_3})\} & \text{if } d_{T'}^+(u') = 1 \text{ and } d_{T'}^-(v') = 1, \\ (T' - \{(u', w_{3-j_2})\}) + \{(v', w_{j_3})\} & \text{if } d_{T'}^+(u') = 2 \text{ and } d_{T'}^-(v') = 1, \\ (T' - \{(w_{3-j_4}, v')\}) + \{(w_{j_1}, u')\} & \text{if } d_{T'}^+(u') = 1 \text{ and } d_{T'}^-(v') = 2, \\ T' - \{(u', w_{3-j_2}), (w_{3-j_4}, v')\} & \text{if } d_{T'}^+(u') = 2 \text{ and } d_{T'}^-(v') = 2, \end{cases}$$

is a spanning  $(w', w'')$ -trail of  $D$ , for some  $w', w'' \in \{w_1, w_2\}$ . By Case 1, we are done. ■

Assume that (12) holds. Then  $t_1 \geq 1$  and so  $D - \{x_1\}$  satisfies the inequalities in Observation 3.2 (i). By the definition of  $D$  in Example 3.1,  $\lambda(D - \{x_1\}) > 0$  if and only if either  $t_3 > 0$ , or both  $(t_1 - 1) + t'_1 + t''_1 > 0$  and  $t_2 + t'_2 + t''_2 > 0$ . As  $\lambda(D) > 0$ , if  $t_3 = 0$ , then  $t_2 + t'_2 + t''_2 > 0$ . Therefore, if  $\lambda(D - \{x_1\}) = 0$ , then  $t_3 = 0$  and  $t_2 + t'_2 + t''_2 > 0$ , and so by (12), we must have  $t_1 = 1$  and  $t'_1 + t''_1 = 0$ . These, together with (12), imply that  $D$  itself satisfies the inequalities in Observation 3.2 (i), and so  $D$  is supereulerian, a contradiction. Hence we must have  $\lambda(D - \{x_1\}) > 0$ . By Observation 3.2 (i),  $D - \{x_1\}$  has a spanning closed trail  $Q$ . It follows that  $Q + \{(x_1, w_2)\}$  is a spanning  $(x_1, w_2)$ -trail of  $D$ . With a similar argument, if (13) holds, then  $D$  also has a spanning trail.

Conversely, assume that  $D$  has a spanning trail. If  $D$  has a spanning closed trail, then by Observation 3.2 (i), each of (12) and (13) is satisfied. Hence we assume that  $D$  is not supereulerian. By Claim 3.3, we assume by symmetry that  $D$  has a spanning  $(x_1, w_2)$ -trail. Then  $D - x_1$  has a spanning closed trail, and so (12) follows from Observation 3.2 (i). ■

**Definition 3.4.** Using the notation used in Example 3.1, we introduce a digraph family  $\mathcal{D}(n)$  for each  $n \geq 4$ . Define a digraph  $D \in \mathcal{D}(n)$  if and only if each of the following holds.

(F1)  $D$  has a subdigraph  $D'$ , (called the **corresponding digraph of  $D$** ), such that there exist nonnegative integers  $t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3$  satisfying  $|V(D')| = 2 + t_1 + t'_1 + t''_1 + t_2 + t'_2 + t''_2 + t_3 \geq 4$  and  $D' = D(t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3)$  (as defined in Example 3.1) such that both (12) and (13) are violated.

(F2) For each  $i \in \{1, 2\}$ , let  $s_i$  be a nonnegative integer and  $D_i$  be digraph with  $V(D_i) = \{w_i, w_1^i, \dots, w_{s_i}^i\}$  and  $A(D_i) = \{(w_i, w_j^i), (w_j^i, w_i) : 1 \leq j \leq s_i\}$ , such that  $V(D_1) \cap V(D_2) = \emptyset$  and  $V(D_i) \cap V(D') = \{w_i\}$ . When  $s_i = 0$ , then  $D_i$  consists of a single vertex  $w_i$ .

(F3) Define  $D$  to be the digraph with  $V(D) = V(D') \cup V(D_1) \cup V(D_2)$  and  $A(D) = A(D') \cup A(D_1) \cup A(D_2)$ , and let  $n = |V(D)|$ .

By Lemma 2.2 (vi) and using the notation in Definition 3.4, a digraph  $D \in \mathcal{D}(n)$  has a spanning trail if and only if the corresponding  $D'$  of  $D$  has a spanning trail. The following follows from Example 3.1.

For any digraph  $D \in \mathcal{D}(n)$ ,  $D$  does not have a spanning trail. (16)

**Corollary 3.5.** Let  $D$  be a digraph obtained from a digraph  $D' = D(t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3)$  (as defined in Example 3.1) with  $4 = |V(D')| = 2 + t_1 + t'_1 + t''_1 + t_2 + t'_2 + t''_2 + t_3$  by attaching a number of 2-cycles to each vertex of  $V(D')$ . Then  $D$  is supereulerian if and only if  $D$  is strong.

**Proof.** By Lemma 2.2 (vii), it suffices to examine these properties for  $D'$ . Since  $D$  is strong, by the way we form  $D$  from  $D'$ ,  $D'$  is also strong. By Example 3.1,  $D'$  is strong if and only if both  $t_1 + t'_1 + t''_1 + t_3 > 0$  and  $t_2 + t'_2 + t''_2 + t_3 > 0$ . As  $2 = t_1 + t'_1 + t''_1 + t_2 + t'_2 + t''_2 + t_3$ , we have both  $t_1 \leq t_2 + t'_2 + t''_2 + t_3$  and  $t_2 \leq t_1 + t'_1 + t''_1 + t_3$ . Thus Corollary 3.5 follows from Observation 3.2 (i). ■

**Lemma 3.6.** Let  $D$  be a digraph with  $|V(D)| = 5$  such that  $G(D)$  has a hamiltonian cycle. If  $D$  is strongly connected, then  $D$  has a spanning trail.

**Proof.** If  $D$  is supereulerian, then  $D$  has a spanning trail. Hence we assume that  $D$  is not supereulerian to show that  $D$  has a spanning trail. Let  $C$  be the longest cycle in  $D$  with arcs  $A(C) = \{(z_1, z_2), (z_2, z_3), \dots, (z_{c-1}, z_c)\}$ . As  $D$  is not supereulerian, we have  $3 \leq c \leq 4$ . Suppose first that  $c = 4$ , let  $x \in V(D) - V(C)$ . Since  $D$  is strongly connected, hence there exists a vertex  $z_i \in V(C)$  such that  $(x, z_i) \in A(D)$ . Without loss of generality, assume that  $(x, z_1) \in A(D)$ . Thus  $D$  has a spanning trail induced by the arcs  $\{(x, z_1), (z_1, z_2), (z_2, z_3), (z_3, z_4)\}$ . Suppose now that  $c = 3$ . Fix a vertex  $x \in V(D) - V(C)$ . Since  $D$  is strong, there exist vertices  $z'_x, z''_x \in \{z_1, z_2, z_3\}$  such that  $D$  contains an  $(x, z'_x)$ -path  $P'_x$  satisfying  $P'_x$  is a shortest path of  $D$  from vertex  $x$  to  $C$  and a  $(z''_x, x)$ -path  $P''_x$  satisfying  $P''_x$  is a shortest path of  $D$  from  $C$  to vertex  $x$ . If  $|V(P'_x)| \geq 3$ , since  $|V(D)| = 5$ , hence  $|V(P'_x)| = 3$  and  $V(D) - V(C) \subset V(P'_x)$ . Assume that  $z'_x = z_1$ , thus  $D$  has a spanning trail induced by the arcs  $A(P'_x) \cup \{(z_1, z_2), (z_2, z_3)\}$ . Likewise, if  $|V(P''_x)| \geq 3$ , then we can obtain a spanning trail of  $D$ . Hence assume that  $P'_x = (x, z'_x)$  and  $P''_x = (z''_x, x)$ . If for any  $x \in V(D) - V(C)$ , we always have  $z'_x = z''_x$ , then  $D$  would be supereulerian, a contradiction. Hence there exists a vertex  $x_1$  such that  $z'_{x_1} \neq z''_{x_1}$ . By symmetry, we assume that  $z_2 = z'_{x_1}$  and  $z_3 = z''_{x_1}$ . Since  $c = 3$ ,  $D$  does not have a 4-cycle and so we must have  $(x_1, z_2), (z_3, x_1) \in A(D)$ . Let  $x_2$  denote the only vertex in  $V(D) - \{z_1, z_2, z_3, x_1\}$ . If  $z'_{x_2} = z''_{x_2}$ , then we must have  $(x_2, z'_{x_2}), (z'_{x_2}, x_2) \in A(D)$ , and so  $D$  has a spanning trail induced by the arcs  $\{(z_1, z_2), (z_2, z_3), (z_3, x_1), (x_2, z'_{x_2}), (z'_{x_2}, x_2)\}$ . Therefore, we assume that  $z'_{x_2} \neq z''_{x_2}$ . If  $z_1 \in \{z'_{x_2}, z''_{x_2}\}$ , then we may assume by symmetry that  $\{z_1, z_3\} = \{z'_{x_2}, z''_{x_2}\}$ . It follows by  $c = 3$  that  $(z_1, x_2), (x_2, z_3) \in A(D)$ , and so  $D$  has a spanning closed trail induced by the arcs  $\{(x_1, z_2), (z_2, z_3), (z_3, x_1), (z_1, x_2), (x_2, z_3), (z_3, z_1)\}$ . If  $z_1 \notin \{z'_{x_2}, z''_{x_2}\}$ , then by  $c = 3$  and as  $D$  is not supereulerian, we must have that  $(x_2, z_2), (z_3, x_2) \in A(D)$ . Since  $G(D)$  has a 5-cycle, there must be an arc  $e \in A(D)$  incident with two vertices in  $\{z_1, x_1, x_2\}$ . By symmetry, assume that  $(x_1, x_2) \in A(D)$ , then  $D$  has a spanning trail induced by the arcs  $\{(x_1, x_2), (x_2, z_2), (z_2, z_3), (z_3, z_1)\}$ . This completes the proof of the lemma. ■

A **block** of a graph  $G$  is a maximal subgraph  $H$  of  $G$  such that  $H$  contains no cut vertices of itself. By definition, if  $B$  is a block of a graph  $G$  with at least 3 vertices, then  $B$  must be 2-connected. Also by definition, if  $D$  is strong, then either  $D$  is a 2-cycle, or every block of  $G(D)$  must be 2-connected. The main purpose of this subsection is to prove Theorem 3.7, which implies Theorem 1.3 (i).

**Theorem 3.7.** Let  $n > 1$  be an integer,  $D$  be a strong digraph with  $|V(D)| = n$ . Then one of the following holds.

- (i)  $\alpha'(D) = 1$  and  $D$  is strongly trail-connected.
- (ii)  $\alpha'(D) = 2$  and the following are equivalent.
  - (ii-1)  $D$  has a spanning trail.
  - (ii-2)  $D \notin \mathcal{D}(n)$ .

**Proof.** Suppose first that  $\alpha'(D) = 1$ . Then  $G(D)$  is spanned by a  $K_{1,n-1}$ . As (i) holds trivially if  $n = 2$ , we assume that  $n \geq 3$ . Let  $v_0$  be the vertex of degree  $n - 1$  in this  $K_{1,n-1}$ . If  $G(D)$  does not have a cycle of length longer than 2, then  $v_0$  is incident with every arc in  $A(D)$ . As  $D$  is strong, every arc of  $D$  is symmetric, and so  $D$  is the symmetric core of itself. It follows from Lemma 2.2 (iii) that  $D$  is strongly trail-connected. Hence we assume that  $G(D)$  contains a cycle of length

at least 3. Then  $D$  has an arc that is not incident with  $v_0$ . By  $\alpha'(D) = 1$ , we must have  $n = 3$  and so  $D$  is spanned by a directed 3-cycle. Once again we have that  $D$  is strongly trail-connected. This proves (i).

To prove (ii), we assume that  $\alpha'(D) = 2$ . By (16), every member  $D \in \mathcal{D}(n)$  does not have a spanning trail, and so (ii – 1) implies (ii – 2). Hence we assume that  $D \notin \mathcal{D}(n)$  to show that  $D$  has a spanning trail. As it is routine to verify that every strong digraph with at most 3 vertices is supereulerian, we assume that  $n \geq 4$ .

Let  $c = c(G(D))$  denote the length of a longest cycle of  $G(D)$ . Since  $D$  is strong and  $\alpha'(G(D)) = \alpha'(D) = 2$ ,  $2 \leq c \leq 5$ . If  $c = 2$ , then  $\bar{G}$ , the simplification of  $G(D)$ , must be a tree and so every pair of adjacent vertices  $u, v \in V(D)$  are vertices of a 2-cycle in  $D$ . It follows by Lemma 2.2 (i) that  $D = J(D)$  is supereulerian. Thus we may assume that  $3 \leq c \leq 5$ . Let  $B$  be a block of  $G(D)$  that contains a longest cycle of  $G(D)$ .

**Claim 3.8.** *Each of the following holds.*

(i) *If  $c = 5$ , then  $G(D) = B$  with  $|V(G(D))| = 5$ .*

(ii) *If  $c = 4$ , then either  $G(D) = B$ , or  $B$  is spanned by a  $K \cong K_{2,t}$  for some  $t \geq 2$  with  $w_1, w_2$  being two nonadjacent vertices of degree  $t$  in  $K$ , such that every block  $B'$  of  $G(D)$  other than  $B$  is a 2-cycle in  $D$  and contains exactly one vertex  $v_{B'} \in V(K)$ . Furthermore, if  $t \geq 3$ , then  $v_{B'} \in \{w_1, w_2\}$ .*

Suppose that  $c = 5$  and let  $C$  be a cycle of length 5. If  $|V(B)| > 5$ , then as  $B$  is connected, an edge  $e \in E(B) - E(C)$  together with a matching of size 2 not adjacent with  $e$  forms a matching of sizes 3 in  $B$ , leading to a contradiction that  $2 = \alpha'(G(D)) \geq \alpha'(B) \geq 3$ . Hence we must have  $|V(B)| = 5$ . Assume that  $G(D)$  has a block  $B_1$  other than  $B$ . Then there must be an edge  $e' \in E(B_1)$ . By definition of block,  $|V(B) \cap V(B_1)| \leq 1$ . Since  $C$  contains a matching  $M'$  of size 2. It follows that  $2 = \alpha'(G(D)) \geq |M' \cup \{e'\}| = 3$ , a contradiction. Hence we must have  $G(D) = B$ .

Now we assume that  $c = 4$ , and so  $B$  contains a  $K_{2,2}$  as a subgraph. Choose a maximum value  $t$  such that  $B$  contains a subgraph  $K$  isomorphic to a  $K_{2,t}$ . Let  $w_1, w_2$  denote two nonadjacent vertices of degree  $t$  in  $K$  and let  $V(K) - \{w_1, w_2\} = \{v_1, v_2, \dots, v_t\}$ . If there exists a vertex  $z \in V(B) - V(K)$ , then since  $\kappa(B) \geq 2$ , there will be two internally disjoint shortest paths from  $z$  to two distinct vertices  $z', z''$  in  $V(K)$ , implying that either  $B$  has a cycle of length at least 5, or  $G(D)$  has a subgraph isomorphic to a  $K_{2,t+1}$ . As either case leads to a contradiction, we conclude that  $B$  is spanned by  $K$ .

Assume that  $G(D) \neq B$ . Let  $B'$  be an arbitrary block of  $G(D)$  other than  $B$ . If  $V(B') \cap V(B) = \emptyset$ , then an edge in  $B'$  together with a 2-matching in  $B$  would lead to the contradiction  $2 = \alpha'(D) \geq 3$ . Hence every block  $B'$  other than  $B$  in  $G(D)$  must contain a vertex  $v_{B'}$  such that  $V(B') \cap V(K) = V(B') \cap V(B) = \{v_{B'}\}$ , and every edge in  $B'$  is incident with the vertex  $v_{B'} \in V(K)$ . Again by  $\alpha'(D) = 2$ , if  $t \geq 3$ , then we must have  $v_{B'} \in \{w_1, w_2\}$  for any block  $B'$  other than  $B$  in  $G(D)$ . As  $D$  is strong,  $G(D)$  is 2-edge-connected and so  $\kappa'(B') \geq 2$ . This implies that  $B'$  is a 2-cycle containing  $v_{B'}$ . Since  $D$  is strong, this 2-cycle in  $B'$  is a 2-cycle in  $D$ . This justifies Claim 3.8.

By Claim 3.8 and Lemma 3.6, if  $c = 5$ , then  $D$  has a spanning trail. Hence it suffices to assume that  $3 \leq c \leq 4$  to prove Theorem 3.7 (ii).

**Claim 3.9.** *Suppose that  $c = 3$ . Each of the following holds.*

(i) *Every block of  $G(D)$  has 2 or 3 vertices.*

(ii) *There are at most two blocks of order 3, and if  $G(D)$  has two blocks  $B', B''$  of order 3, then  $|V(B') \cap V(B'')| = 1$ .*

(iii)  *$D$  has a spanning closed trail.*

Assume that  $c = 3$ . Let  $B_1, B_2, \dots, B_b$  be all the blocks of  $G(D)$  such that for some  $b'$  with  $1 \leq b' \leq b$ ,  $|V(B_1)| \geq \dots \geq |V(B_{b'})| \geq 3$  and  $|V(B_{b'+1})| = \dots = |V(B_b)| = 2$ . For each  $B \in \{B_1, \dots, B_{b'}\}$ , as  $c = 3$ ,  $B$  contains a 3-cycle  $C$ . If there exists a vertex  $v \in V(B) - V(C)$ , then as  $\kappa(B) \geq 2$ , there will be two internally disjoint shortest paths from  $v$  to two distinct vertices in  $V(C)$ , implying the  $B$  has a cycle of length at least 4. Hence we must have  $V(B) = V(C)$ , and so Claim 3.9 (i) follows.

Since two distinct blocks  $B', B''$  of  $G(D)$  must satisfy  $|V(B') \cap V(B'')| \leq 1$ , it follows that  $b' \leq \alpha'(D) = 2$ . Furthermore, assume that  $|V(B') \cap V(B'')| = 0$ , then as  $G(D)$  is connected, there must be an additional block  $B'''$  of  $G(D)$ . It follows by  $|V(B')| = |V(B'')| = 3$  and  $|V(B''')| = 2$  that  $G(D)$  has a matching of size 3, contrary to  $\alpha'(D) = 2$ . This justifies Claim 3.9 (ii).

Since  $D$  is strong, every block  $B$  of  $G(D)$  induces a strong subdigraph  $D[V(B)]$  of  $D$ . It follows by  $|V(B)| \leq 3$  that every  $D[V(B)]$  is supereulerian. Thus  $D$  has a spanning closed trail. This completes the proof of Claim 3.9.

By Claims 3.8 and 3.9 and by Lemma 3.6, we may assume that  $c = 4$ . By Claim 3.8 (ii), for some integer  $t \geq 2$ ,  $G(D)$  has a unique block  $B$  spanned by a  $K_{2,t}$ . If  $t = 2$ , then  $B$  is a 4-cycle. By Claim 3.8 (ii) and Corollary 3.5,  $D$  is supereulerian, and so  $D$  has a spanning trail.

Hence we assume that  $t \geq 3$ . Let  $w_1, w_2$  denote the two vertices of degree  $t$  in this  $K_{2,t}$  such that every block of  $G(D)$  other than  $B$  is a 2-cycle of  $D$  containing  $w_1$  or  $w_2$ . By Example 3.1 (and using the notation in Example 3.1),  $B = D(t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3)$  for some non negative integers  $t_1, t'_1, t''_1, t_2, t'_2, t''_2, t_3$  satisfying  $|V(B)| = 2 + t_1 + t'_1 + t''_1 + t_2 + t'_2 + t''_2 + t_3$ . As  $D \notin \mathcal{D}(n)$ , we conclude that either (12) or (13) must hold. By Observation 3.2 (ii),  $D$  has a spanning trail. This completes the proof for Theorem 3.7 (ii). ■



### 3.2. Supereulerian digraphs and strongly trail-connected digraphs

The main result of this subsection is to prove [Theorem 1.3](#) (iii) and (iv), restated in [Theorem 3.10](#). Recall that  $D_0$  denotes the vertex disjoint union of three complete digraphs of order 3.

**Theorem 3.10.** *Let  $D$  be a strong digraph on  $n$  vertices with  $\alpha'(D) \geq 3$ , and  $n \geq 2\alpha'(D) + 3$ , and let  $J = J(D)$  be a symmetric core of  $D$ . Each of the following holds.*

- (i) *If  $\lambda(D) \geq \alpha'(D) - 1$ , then  $D$  is supereulerian.*
- (ii) *If  $\lambda(D) \geq \alpha'(D) \geq 4$ , then  $J$  is a connected spanning subdigraph of  $D$ .*

**Proof.** Let  $k = \alpha'(D) \geq 3$  and  $n = |V(D)| \geq 2k + 3$ . By [Corollary 2.8](#), [Theorem 3.10](#) (ii) holds. It suffices to prove [Theorem 3.10](#)(i). As  $\lambda(D) \geq k - 1 \geq 2$ ,  $D \not\cong D_0$  and for any vertex  $v \in V(D)$ ,  $d_D(v) \geq 2k - 2$ .

Suppose first that there exists a vertex  $x_1 \in X$  such that  $d_D(x_1) \geq 2k - 1$ . If  $k_1(x_1) > 0$ , then by [Lemma 2.5](#) (iv),  $D$  is supereulerian; if  $k_1(x_1) = 0$ , then by [Lemma 2.9](#) (iv) and as  $\lambda(D) \geq 2$ ,  $D$  is supereulerian. Therefore, we assume that for any vertex  $x \in X$ ,  $d_D(x) = 2k - 2$ . If there exists a vertex  $x_1 \in X$  with  $k_1(x_1) > 0$ , then by [Lemma 2.13](#) (ii),  $D$  is supereulerian. Now assume that for any vertex  $x \in X$ ,  $k_1(x) = 0$ . By [Lemmas 2.10](#) (iii) and [2.12](#) (iii),  $D$  must also be supereulerian. This completes the proof of [Theorem 3.10](#). ■

### 3.3. Spanning trails in digraphs

The purpose of this subsection is to prove [Theorem 1.3](#) (ii). Throughout this subsection,  $D$  denotes a strong digraph with  $|V(D)| = n \geq 6$  and  $\alpha'(D) = k \geq 3$ , and let  $\delta^+(D)$ ,  $\delta^-(D)$  denote the minimum out-degree and the minimum in-degree of  $D$ , respectively. The following example was first presented in [\[15\]](#).

**Example 3.11.** Let  $k_1, k_2, \ell \geq 2$  be integers, and  $D_1$  and  $D_2$  be two disjoint complete digraphs of order  $k_1 + 1$  and  $k_2 + 1$ , respectively, and let  $U$  be an independent set disjoint from  $V(D_1) \cup V(D_2)$  with  $|U| = \ell$ . Let  $\mathcal{F}(k_1, k_2, \ell)$  denote the family of digraphs such that  $D \in \mathcal{F}(k_1, k_2, \ell)$  if and only if  $D$  is the digraph obtained from  $D_1 \cup D_2 \cup U$  by adding all arcs directed from every vertex in  $U$  and  $D_2$  to every vertex in  $D_1$ , and all arcs directed from every vertex in  $D_2$  to every vertex in  $U$ , and then by adding a set of  $\ell - 1$  arcs directed from some vertices in  $D_1$  to some vertices in  $D_2$ , in such a way that  $U$  is a stable set in  $D$ .

Assume  $k_1, k_2 \geq \ell - 1$ . For any  $D \in \mathcal{F}(k_1, k_2, \ell)$ ,  $D$  has  $n = k_1 + k_2 + \ell + 2$  vertices, and is a strong digraph with minimum degree  $\delta^+(D) = k_1$  and  $\delta^-(D) = k_2$ . Direct computation shows that for each  $D \in \mathcal{F}(k_1, k_2, 2)$ ,  $\delta^+(D) + \delta^-(D) = |V(D)| - 4$ . Let  $\mathcal{F}_0(k_1, k_2, 2)$  be the set of spanning subdigraphs  $D'$  of the digraphs in  $\mathcal{F}(k_1, k_2, 2)$  which satisfy  $\delta^+(D') + \delta^-(D') = |V(D')| - 4$ .

In [\[15\]](#), Hong et al. showed that every digraph in  $\mathcal{F}_0(k_1, k_2, 2)$  is a not supereulerian, and proved the following.

**Theorem 3.12** (Hong et al. Theorem 3.4 of [\[15\]](#)). *Let  $D$  be a strong digraph of order  $n$  and minimum out-degree  $\delta^+(D) \geq 4$  and minimum in-degree  $\delta^-(D) \geq 4$ . If  $\delta^+(D) + \delta^-(D) \geq n - 4$ , then the following are equivalent.*

- (i)  *$D$  has a spanning eulerian subdigraph.*
- (ii) *Either  $\delta^+(D) + \delta^-(D) > n - 4$ , or for some integer  $k_1, k_2$ ,  $\delta^+(D) = k_1$ ,  $\delta^-(D) = k_2$  but  $D \notin \mathcal{F}_0(k_1, k_2, 2)$ .*

Let  $k \geq 3$  be an integer. It is routine to verify the following.

**Observation 3.13.** *Every digraph  $D \in \mathcal{F}_0(k - 1, k - 1, 2)$  with  $\lambda(D) \geq k - 1$  has a spanning trail.*

In fact, using the notation in [Example 3.11](#) for the structure of  $D$ , we let  $D_1 \cong D_2 \cong K_k^*$  and  $U = \{u_1, u_2\}$  with an arc  $(v', v'') \in (V(D_1), V(D_2))_D$ , one can start with a vertex  $w'' \in V(D_2) - \{v''\}$ , traverses every vertices in  $D_2$  and then passes  $u_2$ ; then from  $u_2$  to a vertex  $w' \in V(D_1) - \{v'\}$  and traverses every vertex in  $V(D_1)$  with the last vertex in  $v'$ ; and finally completes the trail with the arcs  $(v', v''), (v'', u_1)$ . Thus  $D$  has a spanning trail.

**Proof of Theorem 1.3** (ii). Assume that  $n = |V(D)| \geq 12$ ,  $\alpha'(D) = k \geq 3$  and  $\lambda(D) \geq k - 1 \geq 2$ . By [Theorem 1.3](#) (iii), if  $n = |V(D)| \geq 2k + 3$ , then  $D$  is supereulerian and so has a spanning trail. Hence we assume that  $2k \leq n \leq 2k + 2$ . If  $n \in \{2k, 2k + 1\}$ , then by [Theorem 3.12](#),  $D$  is supereulerian. Therefore we assume that  $n = 2k + 2$ , and so by  $n \geq 12$ ,  $\min\{\delta^+(D), \delta^-(D)\} \geq \lambda(D) \geq k - 1 \geq \frac{n-4}{2} \geq 4$  and  $\delta^+(D) + \delta^-(D) \geq n - 4$ . By [Theorem 3.12](#), either  $D$  is supereulerian or  $D \in \mathcal{F}_0(k - 1, k - 1, 2)$ . By [Observation 3.13](#),  $D$  has a spanning trail. This completes the proof of [Theorem 1.3](#) (ii).

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