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# Characterizations of Strength Extremal Graphs 

Xiaofeng Gu • Hong-Jian Lai • Ping Li • Senmei Yao

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#### Abstract

With graphs considered as natural models for many network design problems, edge connectivity $\kappa^{\prime}(G)$ and maximum number of edge-disjoint spanning trees $\tau(G)$ of a graph $G$ have been used as measures for reliability and strength in communication networks modeled as graph $G$ (see Cunningham, in J ACM 32:549-561, 1985; Matula, in Proceedings of 28th Symposium Foundations of Computer Science, pp 249-251, 1987, among others). Mader (Math Ann 191:21-28, 1971) and Matula (J Appl Math 22:459-480, 1972) introduced the maximum subgraph edge connectivity $\overline{\kappa^{\prime}}(G)=\max \left\{\kappa^{\prime}(H): H\right.$ is a subgraph of $\left.G\right\}$. Motivated by their applications in network design and by the established inequalities


$$
\overline{\kappa^{\prime}}(G) \geq \kappa^{\prime}(G) \geq \tau(G)
$$

[^0]we present the following in this paper:

1. For each integer $k>0$, a characterization for graphs $G$ with the property that $\overline{\kappa^{\prime}}(G) \leq k$ but for any edge $e$ not in $G, \overline{\kappa^{\prime}}(G+e) \geq k+1$.
2. For any integer $n>0$, a characterization for graphs $G$ with $|V(G)|=n$ such that $\kappa^{\prime}(G)=\tau(G)$ with $|E(G)|$ minimized.

Keywords Edge connectivity • Edge-disjoint spanning trees $\cdot k$-Maximal graphs • Network strength • Network reliability

## 1 Introduction

With graphs considered as natural models for many network design problems, edge connectivity and maximum number of edge-disjoint spanning trees of a graph have been used as measures for reliability and strength in communication networks modeled as a graph (see $[4,13]$, among others).

We consider finite graphs with possible multiple edges, and follow notations of Bondy and Murty [2], unless otherwise defined. Thus for a graph $G, \omega(G)$ denotes the number of components of $G$, and $\kappa^{\prime}(G)$ denotes the edge connectivity of $G$. For a connected graph $G, \tau(G)$ denotes the maximum number of edge-disjoint spanning trees in $G$. A survey on $\tau(G)$ can be found in [16]. By definition, $\tau\left(K_{1}\right)=\infty$. A graph $G$ is nontrivial if $|E(G)| \neq \emptyset$.

For any graph $G$, we further define $\overline{\kappa^{\prime}}(G)=\max \left\{\kappa^{\prime}(H): H\right.$ is a subgraph of $\left.G\right\}$. The invariant $\overline{\kappa^{\prime}}(G)$, first introduced by Matula [12], has been studied by Boesch and McHugh [1], Lai [6], Matula [12, 13], Mitchem [14] and implicitly by Mader [11]. In [13], Matula gave a polynomial algorithm to determine $\overline{\kappa^{\prime}}(G)$.

Throughout the paper, $k$ and $n$ denote positive integers, unless otherwise defined.
Mader [11] first introduced $k$-maximal graphs. A graph $G$ is $k$-maximal if $\overline{\kappa^{\prime}}(G) \leq k$ but for any edge $e \notin E(G), \overline{\kappa^{\prime}}(G+e) \geq k+1$. The $k$-maximal graphs have been studied in [1,6,11-14], among others.

Simple $k$-maximal graphs have been well studied. In [11], Mader proved that the maximum number of edges in a simple $k$-maximal graph with $n$ vertices is $(n-k) k+\binom{k}{2}$ and characterized all the extremal graphs. In 1990, Lai [6] showed that the minimum number of edges in a simple $k$-maximal graph with $n$ vertices is $(n-1) k-\binom{k}{2}\left\lfloor\frac{n}{k+2}\right\rfloor$. In the same paper, Lai also characterized all extremal graphs and all simple $k$-maximal graphs.

In this paper, we mainly focus on multiple $k$-maximal graphs, and show that the number of edges in a $k$-maximal graph with $n$ vertices is $k(n-1)$ and give a complete characterization of all $k$-maximal graphs as well as show several equivalent graph families.

As it is known that for any connected graph $G, \kappa^{\prime}(G) \geq \tau(G)$, it is natural to ask when the equality holds. Motivated by this question, we characterize all graphs $G$ satisfying $\kappa^{\prime}(G)=\tau(G)$ with minimum number of possible edges for a fixed number of vertices. We also investigate necessary and sufficient conditions for a graph to have a spanning subgraph with this property or to be a spanning subgraph of another graph with this property.

In Sect. 2, we display some preliminaries. In Sect. 3, we will characterize all $k$ maximal graphs. The characterizations of minimal graphs with $\kappa^{\prime}=\tau$ and reinforcement problems will be discussed in Sects. 4 and 5, respectively.

In this paper, an edge-cut always means a minimal edge-cut.

## 2 Preliminaries

Let $G$ be a nontrivial graph. The density of $G$ is defined by

$$
\begin{equation*}
d(G)=\frac{|E(G)|}{|V(G)|-\omega(G)} \tag{1}
\end{equation*}
$$

Hence, if $G$ is connected, then $d(G)=\frac{|E(G)|}{|V(G)|-1}$. Following the terminology in [3], we define $\eta(G)$ and $\gamma(G)$ as follows:

$$
\eta(G)=\min \frac{|X|}{\omega(G-X)-\omega(G)} \text { and } \gamma(G)=\max \{d(H)\},
$$

where the minimum or maximum is taken over all edge subsets $X$ or subgraph $H$ whenever the denominator is non-zero. From the definitions of $d(G), \eta(G)$ and $\gamma(G)$, we have, for any nontrivial graph $G$,

$$
\begin{equation*}
\eta(G) \leq d(G) \leq \gamma(G) \tag{2}
\end{equation*}
$$

As in [3], a graph $G$ satisfying $d(G)=\gamma(G)$ is said to be uniformly dense. The following theorems are well known.

Theorem 2.1 (Nash-Williams [15], Tutte [17])
Let $G$ be a connected graph with $E(G) \neq \emptyset$, and let $k>0$ be an integer. Then $\tau(G) \geq k$ if and only if for any $X \subseteq E(G),|X| \geq k(\omega(G-X)-1)$.

Theorem 2.1 indicates that for a connected graph $G$

$$
\begin{equation*}
\tau(G)=\lfloor\eta(G)\rfloor . \tag{3}
\end{equation*}
$$

Theorem 2.2 (Catlin et al. [3])
Let $G$ be a graph. The following statements are equivalent.
(i) $\quad \eta(G)=d(G)$.
(ii) $d(G)=\gamma(G)$.
(iii) $\quad \eta(G)=\gamma(G)$.

For a connected graph $G$ with $\tau(G) \geq k$, we define $E_{k}(G)=\{e \in E(G)$ : $\tau(G-e) \geq k\}$.

Lemma 2.3 (Li et al. [9], Li [8])
Let $G$ be a connected graph with $\tau(G) \geq k$. Then $E_{k}(G)=\emptyset$ if and only if $d(G)=k$.

Lemma 2.4 (Haas [5], Lai et al. [7] and Liu et al. [10])
Let $G$ be a graph, then the following statements are equivalent.
(i) $\gamma(G) \leq k$.
(ii) There exist $k(|V(G)|-1)-|E(G)|$ edges whose addition to $G$ results in a graph that can be decomposed into $k$ edge-disjoint spanning trees.

## 3 Characterizations of $\boldsymbol{k}$-Maximal Graphs

In this section, we are to present a structural characterization of $k$-maximal graphs as well as several equivalent conditions, as shown in Theorem 3.1.

Let $F(n, k)$ be the maximum number of edges in a graph $G$ on $n$ vertices with $\overline{\kappa^{\prime}}(G) \leq k$. We define $\mathcal{F}(n, k)=\left\{G:|E(G)|=F(n, k),|V(G)|=n, \overline{\kappa^{\prime}}(G) \leq k\right\}$.

Let $G_{1}$ and $G_{2}$ be connected graphs such that $V\left(G_{1}\right) \cap V\left(G_{2}\right)=\emptyset$. Let $K$ be a set of $k$ edges each of which has one vertex in $V\left(G_{1}\right)$ and the other vertex in $V\left(G_{2}\right)$. The $K$-edge-join $G_{1} *_{K} G_{2}$ is defined to be the graph with vertex set $V\left(G_{1}\right) \cup V\left(G_{2}\right)$ and edge set $E\left(G_{1}\right) \cup E\left(G_{2}\right) \cup K$. When the set $K$ is not emphasized, we use $G_{1} *_{k} G_{2}$ for $G_{1} *_{K} G_{2}$, and refer to $G_{1} *_{k} G_{2}$ as a $k$-edge-join.

Let $\mathcal{G}_{k}$ be a family of graphs such that for any $G_{1}, G_{2} \in \mathcal{G}_{k} \cup\left\{K_{1}\right\}, G_{1} *_{k} G_{2} \in \mathcal{G}_{k}$. Let $\bar{\tau}(G)=\max \{\tau(H): H$ is a subgraph of $G\}$. The main theorem in this section is stated below.

Theorem 3.1 Let $G$ be a graph on $n$ vertices. The following statements are equivalent.
(i) $\quad G \in \mathcal{F}(n, k)$;
(ii) $G$ is $k$-maximal;
(iii) $\eta(G)=\overline{\kappa^{\prime}}(G)=k$;
(iv) $\tau(G)=\overline{\kappa^{\prime}}(G)=k$;
(v) $\tau(G)=\bar{\tau}(G)=\kappa^{\prime}(G)=\overline{\kappa^{\prime}}(G)=k$;
(vi) $G \in \mathcal{G}_{k}$.

In order to prove Theorem 3.1, we need some lemmas.
Lemma 3.2 Let $X$ be a $k$-edge cut of a graph $G$. If $H$ is a subgraph of $G$ with $\kappa^{\prime}(H)>k$, then $E(H) \cap X=\emptyset$.

Proof If $E(H) \cap X \neq \emptyset$, then $\kappa^{\prime}(H) \leq|E(H) \cap X| \leq|X|=k<\kappa^{\prime}(H)$, a contradiction.

Lemma 3.3 If a graph $G$ is $k$-maximal, then $\kappa^{\prime}(G)=\overline{\kappa^{\prime}}(G)=k$.
Proof Since $G$ is $k$-maximal, $\kappa^{\prime}(G) \leq \overline{\kappa^{\prime}}(G) \leq k$. It suffices to show that $\kappa^{\prime}(G)=k$. We assume that $\kappa^{\prime}(G)<k$ and prove it by contradiction. Let $X$ be an edge cut with $|X|<k$ and suppose that $G=G_{1} *_{X} G_{2}$. Let $e \notin E(G)$ be an edge with one end in $V\left(G_{1}\right)$ and the other end in $V\left(G_{2}\right)$. By the definition of $k$-maximal graphs, $\overline{\kappa^{\prime}}(G+e) \geq k+1$. Thus $G+e$ has a subgraph $H$ with $\kappa^{\prime}(H) \geq k+1$. Then it must be the case that $e \in E(H)$, otherwise $H$ is a subgraph of $G$, contrary to $\overline{\kappa^{\prime}}(G) \leq k$. Since $X \cup\{e\}$ is an edge cut of $G+e$ with $|X \cup\{e\}| \leq k$ and $H$ is a subgraph of $G+e$ with $\kappa^{\prime}(H) \geq k+1$, by Lemma 3.2, $E(H) \cap(X \cup\{e\})=\emptyset$, contrary to $e \in E(H)$.

Lemma 3.4 If a graph $G$ is $k$-maximal, then $G=G_{1} *_{k} G_{2}$ where either $G_{i}=K_{1}$ or $G_{i}$ is $k$-maximal for $i=1,2$.

Proof By Lemma 3.3, $G$ has a $k$-edge cut $X$, and so $G=G_{1} *_{k} G_{2}$. For $i=1,2$, suppose that $G_{i} \neq K_{1}$, we want to prove that $G_{i}$ is $k$-maximal. Since $G$ is $k$-maximal, $\overline{\kappa^{\prime}}(G) \leq k$, whence $\overline{\kappa^{\prime}}\left(G_{i}\right) \leq k$. For any edge $e \notin E\left(G_{i}\right), \overline{\kappa^{\prime}}(G+e) \geq k+1$. Thus $G+e$ has a subgraph $H$ with $\kappa^{\prime}(H) \geq k+1$. Since $\overline{\kappa^{\prime}}(G) \leq k, H$ is not a subgraph of $G$, and so $e \in E(H)$. Since $X$ is a $k$-edge cut of $G+e$, by Lemma 3.2, $E(H) \cap X=\emptyset$. Hence $H$ is a subgraph of $G_{i}+e$ with $\kappa^{\prime}(H) \geq k+1$, whence $\overline{\kappa^{\prime}}\left(G_{i}\right) \geq k+1$. Thus $G_{i}$ is $k$-maximal.

Lemma 3.5 Let $G$ be a graph on $n$ vertices. Then $G \in \mathcal{F}(n, k)$ if and only if $G$ is $k$-maximal.

Proof By the definition of $\mathcal{F}(n, k)$, if $G \in \mathcal{F}(n, k)$, then $|E(G)|=F(n, k)$ and $\overline{\kappa^{\prime}}(G) \leq k$. Then for any edge $e \notin E(G),|E(G+e)|=|E(G)|+1>F(n, k)$, and so $\overline{\kappa^{\prime}}(G+e) \geq k+1$. By the definition of $k$-maximal graphs, $G$ is $k$-maximal.

Now we assume that $G$ is $k$-maximal to prove that $G \in \mathcal{F}(n, k)$. It suffices to show that any $k$-maximal graph $G$ has the property $\overline{\kappa^{\prime}}(G) \leq k$ with the maximum number of edges. We will prove that for any $k$-maximal graph $G,|E(G)|=F(n, k)=k(n-1)$. We use induction on $n$. When $n=2, G$ is $k K_{2}$, which is the graph with 2 vertices and $k$ multiple edges, and so $|E(G)|=k$. We assume that $|E(G)|=F(n, k)=k(n-1)$ holds for smaller values of $n>2$. By Lemma $3.4, G=G_{1} *_{k} G_{2}$ where $G_{i}$ is $k$ maximal or $k_{1}$ for $i=1,2$. Let $\left|V\left(G_{i}\right)\right|=n_{i}$. By inductive hypothesis, $\left|E\left(G_{i}\right)\right|=$ $k\left(n_{i}-1\right)$. Thus $|E(G)|=k\left(n_{1}-1\right)+k\left(n_{2}-1\right)+k=k(n-1)$.

Corollary 3.6 $F(n, k)=k(n-1)$.
Lemma 3.7 Suppose $\tau(G)=\bar{\tau}(G)=\kappa^{\prime}(G)=\overline{\kappa^{\prime}}(G)=k$. Then $G=G_{1} *_{k} G_{2}$ where either $G_{i}=K_{1}$ or $G_{i}$ satisfies $\tau\left(G_{i}\right)=\bar{\tau}\left(G_{i}\right)=\kappa^{\prime}\left(G_{i}\right)=\overline{\kappa^{\prime}}\left(G_{i}\right)=k$ for $i=1,2$.

Proof Since $\kappa^{\prime}(G)=k$, there must be an edge-cut of size $k$. Hence there exist graphs $G_{1}$ and $G_{2}$ such that $G=G_{1} *_{k} G_{2}$. If $G_{i} \neq K_{1}$, we will prove $\tau\left(G_{i}\right)=\bar{\tau}\left(G_{i}\right)=$ $\kappa^{\prime}\left(G_{i}\right)=\overline{\kappa^{\prime}}\left(G_{i}\right)=k$, for $i=1$, 2. First, by the definition of $\bar{\tau}, \tau\left(G_{i}\right) \leq \bar{\tau}\left(G_{i}\right) \leq$ $\bar{\tau}(G)=k$ for $i=1,2$. Since $G$ has $k$ disjoint spanning trees, we have $\tau\left(G_{i}\right) \geq k$ for $i=1,2$. Thus $\tau\left(G_{i}\right)=\bar{\tau}\left(G_{i}\right)=k$ for $i=1,2$. Now we prove $\kappa^{\prime}\left(G_{i}\right)=\overline{\kappa^{\prime}}\left(G_{i}\right)=k$ for $i=1,2$. Since $\overline{\kappa^{\prime}}(G)=k, \kappa^{\prime}\left(G_{i}\right) \leq \overline{\kappa^{\prime}}\left(G_{i}\right) \leq k$. But $\kappa^{\prime}\left(G_{i}\right) \geq \tau\left(G_{i}\right)=k$ for $i=1,2$. Hence we have $\tau\left(G_{i}\right)=\bar{\tau}\left(G_{i}\right)=\kappa^{\prime}\left(G_{i}\right)=\overline{\kappa^{\prime}}\left(G_{i}\right)=k$ for $i=1,2$.

Lemma 3.8 Let $G=G_{1} *_{k} G_{2}$ where $G_{i}=K_{1}$ or $G_{i}$ satisfies $\tau\left(G_{i}\right)=\bar{\tau}\left(G_{i}\right)=$ $\kappa^{\prime}\left(G_{i}\right)=\overline{\kappa^{\prime}}\left(G_{i}\right)=k$ for $i=1,2$. Then $\tau(G)=\bar{\tau}(G)=\kappa^{\prime}(G)=\overline{\kappa^{\prime}}(G)=k$.

Proof Since $G=G_{1} *_{k} G_{2}$ and $\kappa^{\prime}\left(G_{1}\right)=\kappa^{\prime}\left(G_{2}\right)=k$, we have $\tau(G) \leq \kappa^{\prime}(G)=$ $k$ and there exists an edge-cut $X=\left\{x_{1}, x_{2}, \ldots, x_{k}\right\}$ such that $G=G_{1} *_{X} G_{2}$. Let $T_{1, i}, T_{2, i}, \ldots, T_{k, i}$ be edge-disjoint spanning trees of $G_{i}$, for $i=1,2$. Then $T_{1,1}+x_{1}+T_{1,2}, T_{2,1}+x_{2}+T_{2,2}, \ldots, T_{k, 1}+x_{k}+T_{k, 2}$ are $k$ edge-disjoint spanning trees of $G$. Thus $\tau(G)=\kappa^{\prime}(G)=k$. Now we need to prove that for any subgraph $H$
of $G, \tau(H) \leq k$ and $\kappa^{\prime}(H) \leq k$. If $E(H) \cap X \neq \emptyset$, then $E(H) \cap X$ is an edge cut of $H$ and thus $\tau(H) \leq \kappa^{\prime}(H) \leq k$. If $E(H) \cap X=\emptyset$, then $H$ is a spanning subgraph of either $G_{1}$ or $G_{2}$, whence $\tau(H) \leq \kappa^{\prime}(H) \leq k$.

Now we present the proof of Theorem 3.1.
Proof of Theorem 3.1 By Lemma 3.5, (i) and (ii) are equivalent. By (3), (iii) $\Rightarrow$ (iv).
(i) $\Rightarrow$ (iii): By Corollary 3.6, $|E(G)|=k(n-1)$. By the definition of $d(G), d(G)=k$.

Since $\overline{\kappa^{\prime}}(G) \leq k$, for any subgraph $H$ of $G, \overline{\kappa^{\prime}}(H) \leq k$. By Corollary 3.6, $|E(H)| \leq k(|V(H)|-1)$, whence $d(H) \leq k$. By the definition of $\gamma(G)$, we have $\gamma(G) \leq k$. Thus $d(G)=\gamma(G)=k$. By Theorem 2.2, $\eta(G)=k$. Hence $k=\eta(G)=\tau(G) \leq \overline{\kappa^{\prime}}(G) \leq k$, i.e., $\eta(G)=\overline{\kappa^{\prime}}(G)=k$.
(iv) $\Rightarrow(\mathrm{i})$ : Since $\overline{\kappa^{\prime}}(G)=k$, by Corollary 3.6, $|E(G)| \leq k(n-1)$. Since $\tau(G)=k$, $G$ has $k$ edge-disjoint spanning trees, and so $|E(G)| \geq k(n-1)$. Thus $|E(G)|=k(n-1)$, and so $G \in \mathcal{F}(n, k)$.
(iv) $\Leftrightarrow(\mathrm{v})$ : By definition, $\tau(G) \leq \bar{\tau}(G) \leq \overline{\kappa^{\prime}}(G)$ and $\tau(G) \leq \kappa^{\prime}(G) \leq \overline{\kappa^{\prime}}(G)$. The equivalence between (iv) and (v) now follows from these inequalities.
(v) $\Rightarrow(\mathrm{vi})$ : We argue by induction on $|V(G)|$. When $|V(G)|=2$, a graph $G$ with $\tau(G)=\bar{\tau}(G)=\kappa^{\prime}(G)=\overline{\kappa^{\prime}}(G)=k$ must be $K_{1} *_{k} K_{1}$, and so by definition, $G \in \mathcal{G}_{k}$. We assume that (v) $\Rightarrow$ (vi) holds for smaller values of $\underline{\mid V}(G) \mid$. By Lemma 3.7, $G=G_{1} *_{k} G_{2}$ with $\tau\left(G_{i}\right)=\bar{\tau}\left(G_{i}\right)=\kappa^{\prime}\left(G_{i}\right)=$ $\overline{\kappa^{\prime}}\left(G_{i}\right)=k$ or $G_{i}=K_{1}$, for $i=1,2$. If $G_{i} \neq K_{1}$, then by the inductive hypothesis, $G_{i} \in \mathcal{G}_{k}$. By definition, $G \in \mathcal{G}_{k}$.
$(\mathrm{vi}) \Rightarrow(\mathrm{v})$ : We show it by induction on $|V(G)|$. When $|V(G)|=2$, by the definition of $\mathcal{G}_{k}, G=K_{1} *_{k} K_{1}$, and then $\tau(G)=\bar{\tau}(G)=\kappa^{\prime}(G)=\overline{\kappa^{\prime}}(G)=k$. We assume that it holds for smaller values of $|V(G)|$. By the definition of $\mathcal{G}_{k}, G=G_{1} *_{k} K_{1}$ or $G=G_{1} *_{k} G_{2}$ where $G_{1}, G_{2} \in \mathcal{G}_{k}$. By inductive hypothesis, $\tau\left(G_{i}\right)=\bar{\tau}\left(G_{i}\right)=\kappa^{\prime}\left(G_{i}\right)=\overline{\kappa^{\prime}}\left(G_{i}\right)=k$ for $i=1,2$, and by Lemma 3.8, $\tau(G)=\bar{\tau}(G)=\kappa^{\prime}(G)=\overline{\kappa^{\prime}}(G)=k$.

## 4 Characterizations of Minimal Graphs with $\kappa^{\prime}=\tau$

We define

$$
\mathcal{F}_{k, n}=\left\{G: \kappa^{\prime}(G)=\tau(G)=k,|V(G)|=n \text { and }|E(G)| \text { is minimized }\right\}
$$

and $\mathcal{F}_{k}=\cup_{n>1} \mathcal{F}_{k, n}$.
In this section, we will give characterizations of graphs in $\mathcal{F}_{k}$. In addition, we use $\mathcal{F}_{k, n}$ to characterize graphs $G$ with $\kappa^{\prime}(G)=\tau(G)$.

Theorem 4.1 Let $G$ be a graph, then $G \in \mathcal{F}_{k}$ if and only if $G$ satisfies
(i) $G$ has an edge-cut of size $k$, and
(ii) $G$ is uniformly dense with density $k$.

Proof Suppose that $G \in \mathcal{F}_{k}$, then $\tau(G)=\kappa^{\prime}(G)=k$. Hence $G$ has an edge-cut of size $k$. Since $|E(G)|$ is minimized, we have $E_{k}(G)=\emptyset$. By Lemma 2.3, $d(G)=k$.

Since $\tau(G)=k$, by Theorem 2.1 and the definition of $\eta(G)$, we have $\eta(G) \geq k$. By (2), $\eta(G) \leq d(G)=k$, whence $\eta(G)=d(G)=k$. By Theorem $2.2, G$ is uniformly dense with density $k$.

On the other hand, suppose that $G$ satisfies (i) and (ii). By (2) and Theorem 2.2, $\eta(G)=d(G)=k$. By (3), $\tau(G)=k$. Then $\kappa^{\prime}(G) \geq \tau(G)=k$. But $G$ has an edgecut of size $k$, thus $\kappa^{\prime}(G)=\tau(G)=k$. Since $d(G)=k$, by Lemma 2.3, $E_{k}(G)=\emptyset$, i.e. $|E(G)|$ is minimized. Thus $G \in \mathcal{F}_{k}$.

Theorem 4.2 A graph $G \in \mathcal{F}_{k}$ if and only if $G=G_{1} *_{k} G_{2}$ where either $G_{i}=K_{1}$ or $G_{i}$ is uniformly dense with density $k$ for $i=1,2$.

Proof Suppose that $G \in \mathcal{F}_{k}$. By Theorem 4.1, $G$ has an edge-cut of size $k$, whence there exist graphs $G_{1}$ and $G_{2}$ such that $G=G_{1} *_{k} G_{2}$. Now we will prove that $G_{i}$ is uniformly dense with density $k$ if it is not isomorphic to $K_{1}$, for $i=1,2$. Since $\tau(G)=k$, we have $\tau\left(G_{i}\right) \geq k$, and thus $d\left(G_{i}\right) \geq k$, for $i=1,2$. By (2), (3) and Theorem 2.2, it suffices to prove that $d\left(G_{i}\right)=k$ for $i=1,2$. If not, then either $d\left(G_{1}\right)>k$ or $d\left(G_{2}\right)>k$. By (1), $|E(G)|=\left|E\left(G_{1}\right)\right|+\left|E\left(G_{2}\right)\right|+k>k\left(\left|V\left(G_{1}\right)\right|-\right.$ $1)+k\left(\left|V\left(G_{2}\right)\right|-1\right)+k=k(|V(G)|-1)$, and thus $d(G)=\frac{|E(G)|}{|V(G)|-1}>k$, contrary to the fact that $d(G)=k$. Hence $d\left(G_{i}\right)=k$, and $k \leq \tau\left(G_{i}\right) \leq \eta\left(G_{i}\right) \leq d\left(G_{i}\right)=k$. By Theorem 2.2, $G_{i}$ is uniformly dense with density $k$ for $i=1,2$. This proves the necessity.

To prove the sufficiency, first notice that $G$ must have an edge-cut of size $k$, by the definition of the $k$-edge-join. In order to prove $G \in \mathcal{F}_{k}$, by Theorem 4.1, it suffices to show that $G$ is uniformly dense with density $k$. Without loss of generality, we may assume that $G_{i}$ is not isomorphic to $K_{1}$ for $i=1,2$. Then $\eta\left(G_{i}\right)=d\left(G_{i}\right)=k$ for $i=1,2$. By (3), $\tau\left(G_{i}\right)=\left\lfloor\eta\left(G_{i}\right)\right\rfloor=k$. Also we have $d\left(G_{i}\right)=\frac{\left|E\left(G_{i}\right)\right|}{\left|V\left(G_{i}\right)\right|-1}=k$ for $i=$ 1,2 . Hence $E(G)=\left|E\left(G_{1}\right)\right|+\left|E\left(G_{2}\right)\right|+k=k\left(\left|V\left(G_{1}\right)\right|-1\right)+k\left(\left|V\left(G_{2}\right)\right|-1\right)+k=$ $k(|V(G)|-1)$, whence $d(G)=\frac{|E(G)|}{|V(G)|-1}=k$. Thus $k=\tau(G) \leq \eta(G) \leq d(G)=k$, i.e., $\eta(G)=d(G)=k$, and by Theorem 2.2, $G$ is uniformly dense with density $k$. By Theorem 4.1, $G \in \mathcal{F}_{k}$.

Theorem 4.2 has the following corollary, presenting a recursive structural characterization of graphs in $\mathcal{F}_{k}$.

Corollary 4.3 Let $\mathcal{K}(k)=\left\{G: \kappa^{\prime}(G)>\eta(G)=d(G)=k\right\}$. Then a graph $G \in \mathcal{F}_{k}$ if and only if $G=\left(\left(G_{1} *_{k} G_{2}\right) *_{k} \ldots\right) *_{k} G_{t}$ for some integert $\geq 2$ and $G_{i} \in \mathcal{K}(k) \cup\left\{K_{1}\right\}$ for $i=1,2, \ldots, t$.

Now we can characterize all the graphs $G$ with $\kappa^{\prime}(G)=\tau(G)=k$.
Theorem 4.4 A graph $G$ with $n$ vertices satisfies $\kappa^{\prime}(G)=\tau(G)=k$ if and only if $G$ has an edge-cut of size $k$ and a spanning subgraph in $\mathcal{F}_{k, n}$.

Proof First, suppose that $G$ satisfies $\kappa^{\prime}(G)=\tau(G)=k$. Then $G$ must have an edgecut $C$ of size $k$ since $\kappa^{\prime}(G)=k$. Hence, $G=G_{1} *_{C} G_{2}$ where $\tau\left(G_{i}\right) \geq k$ or $G_{i}=K_{1}$ for $i=1,2$. If $G_{i}=K_{1}$, then let $G_{i}^{\prime}=K_{1}$. Otherwise, $G_{i}$ must have $k$ edge-disjoint spanning trees $T_{1}, T_{2}, \ldots, T_{k}$, and let $G_{i}^{\prime}$ be the graph with $V\left(G_{i}^{\prime}\right)=V\left(G_{i}\right)$ and $E\left(G_{i}^{\prime}\right)=\cup_{j=1}^{k} E\left(T_{j}\right)$. Let $G^{\prime}=G_{1}^{\prime} *_{C} G_{2}^{\prime}$. Then $G^{\prime}$ is a spanning subgraph of $G$ with
$\kappa^{\prime}\left(G^{\prime}\right)=k$ and $k=\tau\left(G^{\prime}\right) \leq \eta\left(G^{\prime}\right) \leq d\left(G^{\prime}\right)=k$. By Theorem 4.1, $G^{\prime} \in \mathcal{F}_{k}$. Since $\left|V\left(G^{\prime}\right)\right|=n, G^{\prime} \in \mathcal{F}_{k, n}$, completing the proof of necessity.

To prove the sufficiency, first notice that $\kappa^{\prime}(G) \leq k$, since $G$ has an edge-cut of size $k$. Graph $G$ has a spanning subgraph $G^{\prime} \in \mathcal{F}_{k, n}$, so $\tau\left(G^{\prime}\right)=k$, whence $\tau(G) \geq k$. Thus $k \leq \tau(G) \leq \kappa^{\prime}(G) \leq k$, and we have $\kappa^{\prime}(G)=\tau(G)=k$.

## 5 Extensions and Restrictions with Respect to $\mathcal{F}_{k, n}$

Let $G$ be a connected graph with $n$ vertices and $H \in \mathcal{F}_{k, n}$. If $G$ is a spanning subgraph of $H$, then $H$ is an $\mathcal{F}_{k, n}$-extension of $G$. If $H$ is a spanning subgraph of $G$, then $H$ is an $\mathcal{F}_{k, n}$-restriction of $G$.

Theorem 5.1 Let $G$ be a connected graph with $n$ vertices. Then each of the following holds.
(i) $G$ has an $\mathcal{F}_{k, n}$-restriction if and only if $G=G_{1} *_{k^{\prime}} G_{2}$ for some $k^{\prime} \geq k$ and graph $G_{i}$ with $\eta\left(G_{i}\right) \geq k$ or $G_{i}=K_{1}$, for $i=1,2$.
(ii) $G$ has an $\mathcal{F}_{k, n}$-extension if and only if $\kappa^{\prime}(G) \leq k$ and $\gamma(G) \leq k$.

Proof (i) Suppose that $G$ has an $\mathcal{F}_{k, n}$-restriction $H$, by Theorem 4.2, $H=H_{1} *_{k} H_{2}$ where $\tau\left(H_{i}\right)=\eta\left(H_{i}\right)=d\left(H_{i}\right)=k$ or $H_{i}=K_{1}$ for $i=1$, 2 . Since $H$ is a spanning subgraph of $G$, we have $G=G_{1} *_{k^{\prime}} G_{2}$ for some $k^{\prime} \geq k$ such that $H_{i}$ is a spanning subgraph of $G_{i}$ for $i=1,2$. If $H_{i}=K_{1}$, then $G_{i}=K_{1}$, otherwise, $\eta\left(G_{i}\right) \geq \tau\left(G_{i}\right) \geq \tau\left(H_{i}\right)=k$ for $i=1,2$, by (3).
To prove the sufficiency, it suffices to show that $G$ has a spanning subgraph $H \in \mathcal{F}_{k, n}$. Since $G=G_{1} *_{k^{\prime}} G_{2}$, there exists an edge-cut $X$ of size $k^{\prime}$ such that $G=G_{1} *_{X} G_{2}$. Let $Y$ be a subset of size $k$ of $X$. For $i=1,2$, if $G_{i}=K_{1}$, then let $H_{i}=K_{1}$. Otherwise, $\eta\left(G_{i}\right) \geq k$, and by (3), $\tau\left(G_{i}\right)=\left\lfloor\eta\left(G_{i}\right)\right\rfloor \geq k$, and then $G_{i}$ has $k$ edge-disjoint spanning trees $T_{1, i}, T_{2, i}, \ldots, T_{k, i}$. Let $H_{i}$ be the graph with $V\left(H_{i}\right)=V\left(G_{i}\right)$ and $E\left(H_{i}\right)=\cup_{j=1}^{k} E\left(T_{j, i}\right)$, for $i=1$, 2. Let $H=H_{1} *_{Y} H_{2}$. Then $H$ is a spanning subgraph of $G$ and $\kappa^{\prime}(H)=\tau(H)=k$. Since $d(H)=k$, by Lemma 2.3, $H$ has the minimum number of edges with $\tau(H)=k$. Thus $H \in \mathcal{F}_{k, n}$.
(ii) If $G$ has an $\mathcal{F}_{k, n}$-extension $H$, then $G$ is a spanning subgraph of $H$ and $\kappa^{\prime}(H)=$ $\tau(H)=k$ with minimum number of edges. Then $\kappa^{\prime}(G) \leq k$. By Theorem 4.1, $d(H)=k$, i.e. $|E(H)|=k(|V(H)|-1)=k(|V(G)|-1)$. Thus $|E(H)|-$ $|E(G)|=k(|V(G)|-1)-|E(G)|$, and by Lemma 2.4, $\gamma(G) \leq k$.
To prove the sufficiency, it suffices to show that there is a graph $H \in \mathcal{F}_{k, n}$ with a spanning subgraph $G$. Let $\kappa^{\prime}(G)=k^{\prime}$, then $k^{\prime} \leq k$, and $G$ has an edge-cut $X$ of size $k^{\prime}$. Hence, $G=G_{1} *_{X} G_{2}$. For $i=1,2$, if $G_{i}=K_{1}$, then let $H_{i}=K_{1}$. Otherwise, since $\gamma(G) \leq k$, by the definition of $\gamma(G)$, we have $\gamma\left(G_{i}\right) \leq k$. By Lemma $2.4, G_{i}$ can be reinforcing to a graph $H_{i}$ which can be decomposed into $k$ edge-disjoint spanning trees. Then $\left|E\left(H_{i}\right)\right|=k\left(\left|V\left(H_{i}\right)\right|-1\right)=k\left(\left|V\left(G_{i}\right)\right|-1\right)$, whence $d\left(H_{i}\right)=k$. Since $k=\tau\left(H_{i}\right) \leq \eta\left(H_{i}\right) \leq d\left(H_{i}\right)=k$, we have $\eta\left(H_{i}\right)=$ $d\left(H_{i}\right)=k$, and by Theorem 2.2, $H_{i}$ is uniformly dense, for $i=1$, 2. Let $H=H_{1} *_{Y} H_{2}$ where $Y$ is an edge subset of size $k$ with $X \subseteq Y$. Then $G$ is a
spanning subgraph of $H$. By Theorem $4.2, H \in \mathcal{F}_{k, n}$, and this completes the proof of the theorem.

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