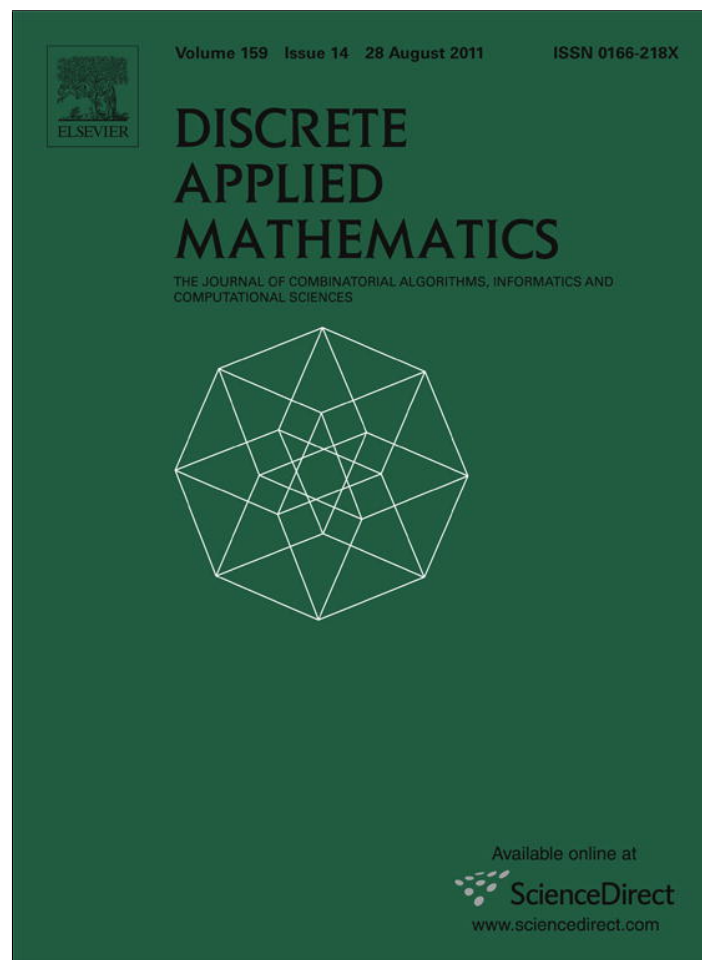


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## Note

## Degree sequences and graphs with disjoint spanning trees

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## ABSTRACT

The design of an  $n$  processor network with a given number of connections from each processor and with a desirable strength of the network can be modeled as a degree sequence realization problem with certain desirable graphical properties. A nonincreasing sequence  $d = (d_1, d_2, \dots, d_n)$  is graphic if there is a simple graph  $G$  with degree sequence  $d$ . In this paper, it is proved that for a positive integer  $k$ , a graphic sequence  $d$  has a simple realization  $G$  which has  $k$  edge-disjoint spanning trees if and only if either both  $n = 1$  and  $d_1 = 0$ , or  $n \geq 2$  and both  $d_n \geq k$  and  $\sum_{i=1}^n d_i \geq 2k(n-1)$ .

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## 1. Introduction

We consider the problem of designing networks with  $n$  processors  $v_1, v_2, \dots, v_n$  such that, for a given sequence of positive integers  $d_1, d_2, \dots, d_n$ , it is expected that each processor  $v_i$  will be connected to other processors by  $d_i$  connections. It is further expected that such networks will have certain levels of strengths. This problem can be modeled as the problem of determining whether a (graphical) degree sequence has realizations with certain graphical properties. Motivated by the research in [4], we shall consider the strength of the graph as the property of having  $k$  edge-spanning trees.

This paper studies finite and undirected graphs without loops. Undefined terms can be found in [2]. In particular,  $\omega(G)$  denotes the number of components of a graph  $G$ . For a vertex  $v \in V(G)$  and a subgraph  $K$  of  $G$ ,  $d_K(v)$  is the number of vertices in  $K$  that are adjacent to  $v$  in  $G$ . If  $X \subseteq E(G)$ , then  $G[X]$  is the subgraph of  $G$  induced by the edge subset  $X$ , and  $G(X)$  is the spanning subgraph of  $G$  with edge set  $X$ . A graph  $G$  is *nontrivial* if  $E(G) \neq \emptyset$ . A sequence  $d = (d_1, d_2, \dots, d_n)$  is *nonincreasing* if  $d_1 \geq d_2 \geq \dots \geq d_n$ . A sequence  $d = (d_1, d_2, \dots, d_n)$  is *graphic* if there is a simple graph  $G$  with degree sequence  $d$ . In this case, this graph  $G$  is a *realization* of  $d$ . We will also call  $G$  a  *$d$ -realization*.

Many researchers have been investigating graphic degree sequences that have a realization with certain graphical properties. See [1,5–7,12–14], among others. An excellent and resourceful survey by Li can be found in [10].

In this paper, we focus on the investigation of graphic sequences that have realizations with many edge-disjoint spanning trees.

In Section 2, we develop some useful properties related to graphs with at least  $k$  edge-disjoint spanning trees. In Section 3, we present a proof for the following characterization of graphic sequences with realizations having  $k$  edge-disjoint spanning trees.

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**Theorem 1.1.** A nonincreasing graphic sequence  $d = (d_1, d_2, \dots, d_n)$  has a realization  $G$  with  $k$  edge-disjoint spanning trees if and only if either  $n = 1$  and  $d_1 = 0$ , or  $n \geq 2$  and both of the following hold:

- (i)  $d_n \geq k$ .
- (ii)  $\sum_{i=1}^n d_i \geq 2k(n - 1)$ .

**2. Properties of graphs with  $k$  edge-disjoint spanning trees**

Let  $G$  be a graph, and  $k \geq 2$  be an integer. Let  $\tau(G)$  denote the number of edge-disjoint spanning trees of  $G$ , and  $\mathcal{T}_k$  the set of all graphs with  $\tau(G) \geq k$ . By definition,  $K_1 \in \mathcal{T}_k$ , for any integer  $k > 0$ . In this section, we summarize and develop some useful properties on  $\mathcal{T}_k$ , some of which were first introduced in [11], and are later extended to matroids in [8,9].

For an edge subset  $X \subset E(G)$ , a contraction of  $G$ , denoted by  $G/X$ , is the graph obtained first from  $G$  by identifying the two ends of each edge in  $X$ , and then deleting all the resulting loops. When  $X = \{e\}$ , we use  $G/e$  for  $G/\{e\}$ . Moreover, we define  $G/\emptyset = G$ .

**Proposition 2.1** (Liu et al., Lemma 2.1 in [11]). For any integer  $k$ ,  $\mathcal{T}_k$  is a family of connected graphs such that each of the following holds.

- (C1)  $K_1 \in \mathcal{T}_k$ .
- (C2) If  $e \in E(G)$  and if  $G \in \mathcal{T}_k$ , then  $G/e \in \mathcal{T}_k$ .
- (C3) If  $H$  is a subgraph of  $G$ , and if  $H, G/H \in \mathcal{T}_k$ , then  $G \in \mathcal{T}_k$ .
- (C4) If  $H_1$  and  $H_2$  are two subgraphs of  $G$  such that  $H_1, H_2 \in \mathcal{T}_k$  and  $V(H_1) \cap V(H_2) \neq \emptyset$ , then  $H_1 \cup H_2 \in \mathcal{T}_k$ .

Define the density of a subgraph  $H$  of  $G$  with  $|V(H)| > 1$  as follows:

$$d(H) = \frac{|E(H)|}{|V(H)| - 1}, \quad \text{if } |V(H)| > 1.$$

**Theorem 2.2** (Yao et al., Theorem 2.4 in [15]). Let  $G$  be a multigraph. If  $d(G) \geq k$ , then  $G$  has a nontrivial subgraph  $H$  such that  $H \in \mathcal{T}_k$ .

Let  $G$  be a nontrivial connected graph. For any positive integer  $r$ , a nontrivial subgraph  $H$  of  $G$  is  $\mathcal{T}_r$ -maximal if both  $H \in \mathcal{T}_r$  and  $H$  has no proper subgraph  $K$  of  $G$ , such that  $K \in \mathcal{T}_r$ . A  $\mathcal{T}_r$ -maximal subgraph  $H$  of  $G$  is called an  $r$ -region if  $r = \tau(H)$ . Define  $\bar{\tau}(G) = \max\{r : G \text{ has a subgraph as an } r\text{-region}\}$ .

**Lemma 2.3** (Liu et al., Lemma 2.3 in [11]). Let  $r, r' > 0$  be integers,  $H, H'$  be an  $r$ -region and an  $r'$ -region of  $G$ , respectively. Then exactly one of the following must hold:

- (i)  $V(H) \cap V(H') = \emptyset$ ,
- (ii)  $r' = r$  and  $H = H'$ ,
- (iii)  $r' > r$  and  $H$  is a nonspanning subgraph of  $H'$ ,
- (iv)  $r' < r$  and  $H$  contains  $H'$  as a non-spanning subgraph.

**Theorem 2.4** (Theorem 2.4 in [11]). Let  $G$  be a nontrivial connected graph. Then

- (a) there exists a positive integer  $m$ , and an  $m$ -tuple  $(i_1, i_2, \dots, i_m)$  of positive integers with

$$\tau(G) = i_1 < i_2 < \dots < i_m = \bar{\tau}(G),$$

and a sequence of edge subsets

$$E_m \subset \dots \subset E_2 \subset E_1 = E(G),$$

such that each component of the induced subgraphs  $G[E_j]$  is an  $r$ -region of  $G$  for some  $r$  with  $r \geq i_j$ , ( $1 \leq j \leq m$ ), and such that at least one component  $H$  in  $G[E_j]$  is an  $i_j$ -region of  $G$ ;

- (b) if  $H$  is a subgraph of  $G$  with  $\tau(H) \geq i_j$ , then  $E(H) \subseteq E_j$ ;
- (c) the integer  $m$  and the sequence of edge subsets are uniquely determined by  $G$ .

**Lemma 2.5.** Let  $k \geq 1$  be an integer,  $G$  be a graph with  $\bar{\tau}(G) \geq k$ . Then each of the following statements holds.

- (i) The graph  $G$  has a unique edge subset  $X_k \subseteq E(G)$ , such that every component  $H$  of  $G[X_k]$  is a  $\mathcal{T}_k$ -maximal subgraph. In particular,  $G \notin \mathcal{T}_k$  if and only if  $E(G) \neq X_k$ .
- (ii) If  $G \notin \mathcal{T}_k$ , then  $G/X_k$  contains no nontrivial subgraph  $H'$  with  $\tau(H') \geq k$ . ( $G/X_k$  is called the  $(\tau \geq k)$ -reduction of  $G$ .)
- (iii) If  $G \notin \mathcal{T}_k$ , then  $d(H') < k$  for any nontrivial subgraph  $H'$  of  $G/X_k$ .

**Proof.** If  $G \in \mathcal{T}_k$ , then  $X_k = E(G)$ . Hence we assume that  $G \notin \mathcal{T}_k$ . Since  $\tau(G) < k \leq \bar{\tau}(G)$ , there exists an integer  $j$  such that  $i_{j-1} < k \leq i_j$  by Theorem 2.4(a). Let  $X_k = E_j$ . Then each component  $H$  of  $G[X_k]$  is a  $\mathcal{T}_k$ -maximal subgraph. By Theorem 2.4(c),  $X_k$  is unique. Thus part (i) holds.

To prove part (ii), we argue by contradiction. We assume  $G/X_k$  contains nontrivial subgraph  $H'$  with  $\tau(H') \geq k$  and  $V(H') = \{v_1, v_2, \dots, v_h\}$  with  $h \geq 2$ . Without loss of generality, suppose the pre-image of  $v_i$  in  $G$  is  $H_i$ , and  $H_i$  is nontrivial for  $1 \leq i \leq t$  and is trivial for  $t + 1 \leq i \leq h$ . We will prove that  $\tau(G') \geq k$ , where  $G' = G[\cup_{i=1}^h V(H_i)]$ . By induction, if  $t = 1$ , then  $G'/H_1 = H'$ , and  $H', H_1 \in \mathcal{T}_k$ . Therefore,  $G' \in \mathcal{T}_k$  by Proposition 2.1(C3). Assume it's true for all  $t \leq s$ . For  $t = s + 1$ , consider  $G'/H_{s+1}$ . Then  $G'/H_{s+1} \in \mathcal{T}_k$  by induction hypothesis. Thus  $G' \in \mathcal{T}_k$  by Proposition 2.1(C3), and so part (ii) holds.

We argue by contradiction to prove (iii). Assume that  $d(H') \geq k$ . Then  $|E(H')| \geq k(|V(H')| - 1)$ . By Theorem 2.2,  $H'$  has a nontrivial subgraph  $H''$  such that  $H'' \in \mathcal{T}_k$ . Note that  $H''$  is also a nontrivial subgraph of  $G/X_k$ , contrary to part (ii).  $\square$

Notice that  $d(G) \geq k$  implies  $\bar{\tau}(G) \geq k$  by Theorem 2.2. Therefore if  $d(G) \geq k$ , then the unique edge subset  $X_k$  defined in Lemma 2.5(i) exists.

**Lemma 2.6.** *Let  $G$  be a graph satisfying  $d(G) \geq k$  and let  $X_k \subset E(G)$  be the edge subset defined in Lemma 2.5 (i). If  $G[X_k]$  has at least two components, then for any nontrivial component  $H$  of  $G[X_k]$ , both  $d(H) \geq k$ , and  $G[X_k]$  has at least one component  $H$  with  $d(H) > k$ .*

**Proof.** For any nontrivial component  $H$  of  $G[X_k]$ , by Lemma 2.5(i),  $H \in \mathcal{T}_k$ . Thus  $|E(H)| \geq k(|V(H)| - 1)$ , and so  $d(H) \geq k$ .

Suppose  $G[X_k]$  has  $c$  components  $H_1, H_2, \dots, H_c$  with  $c \geq 2$ . By contradiction, assume  $d(H) = k$  for any nontrivial component  $H$  of  $G[X_k]$ . Let  $x = |E(G) - X_k|$ . Then  $|E(H_i)| = k(|V(H_i)| - 1)$  for any  $1 \leq i \leq c$  and

$$|E(G)| = \sum_{i=1}^c |E(H_i)| + x = \sum_{i=1}^c (k|V(H_i)| - k) + x = k \sum_{i=1}^c |V(H_i)| - kc + x = k|V(G)| - kc + x.$$

Therefore,  $x = |E(G)| - k|V(G)| + kc \geq k(|V(G)| - 1) - k|V(G)| + kc = k(c - 1)$ .

Let  $G' = G/G[X_k]$ . Then  $G'$  is a multigraph with  $|V(G')| = c > 1$  and  $|E(G')| = x$ . Therefore,  $d(G') \geq k$ , contrary to Lemma 2.5 (iii). Hence  $G[X_k]$  has at least one component  $H_i$  such that  $d(H_i) > k$ .  $\square$

Let  $H_1, H_2$  be two subgraphs of a graph  $G$ . Define

$$E(H_1, H_2) = \{e = uv \in E(G) : u \in V(H_1), v \in V(H_2)\}.$$

Let  $\alpha'(G)$  denote the size of a maximum matching of  $G$  and  $\chi'(G)$  the edge chromatic number of  $G$ . Then we have the well-known Vizing Theorem.

**Theorem 2.7** (Theorem 17.4 of [2]). *For any simple graph  $G$  on  $n$  vertices,  $\Delta(G) \leq \chi'(G) \leq \Delta(G) + 1 \leq n$ .*

Since the set of edges of each color is a matching of  $G$ , we have the following observation.

**Observation 2.8.** *For any graph  $G$ ,  $|E(G)| \leq \chi'(G)\alpha'(G)$ .*

**Lemma 2.9.** *For any simple graph  $G$  with  $|E(G)| \geq 1$ ,  $\alpha'(G) \geq \lceil \frac{\tau(G)}{2} \rceil$ .*

**Proof.** We argue by induction on  $n = |V(G)|$ . It is trivial if  $n = 2$ . Assume that lemma holds for smaller  $n$  and  $n \geq 3$ .

Suppose  $\tau(G) = k > 0$ . Then for any  $v \in V(G)$ ,  $d(v) \geq k$ . Assume first that  $G$  has a vertex  $v_0$  of degree  $k$ . Let  $G' = G - v_0$ . Since  $d_G(v_0) = k$  and  $\tau(G) = k$ ,  $v_0$  is not a cut-vertex of  $G$ . Therefore,  $G'$  is connected and  $\tau(G') \geq \tau(G) = k$ . By induction,

$$\alpha'(G) \geq \alpha'(G') \geq \left\lceil \frac{k}{2} \right\rceil = \left\lceil \frac{\tau(G)}{2} \right\rceil.$$

Hence now we assume that  $\delta(G) \geq k + 1$ . Then by Observation 2.8 and Theorem 2.7,

$$n\alpha'(G) \geq \chi'(G)\alpha'(G) \geq |E(G)| \geq \frac{n}{2}(k + 1).$$

Therefore,  $\alpha'(G) \geq \frac{k+1}{2} \geq \lceil \frac{k}{2} \rceil$ .  $\square$

Following the terminology in [3], the strength  $\eta(G)$  is defined as

$$\eta(G) = \min\{d(G/X) : |V(X)| < |V(G)|\}.$$

As indicated in Corollary 5 of [3],  $\tau(G) = \lfloor \eta(G) \rfloor$ .

A subgraph  $H$  of  $G$  is  $\eta$ -maximal if for any subgraph  $H'$  of  $G$  that properly contains  $H$ ,  $\eta(H') < \eta(H)$ .

**Theorem 2.10** (Theorem 6 in [3], Corollary 3.6 in [9]). *For any integer  $k$  with  $d(G) \geq k$ , either  $E(G)$  is the union of  $k$  edge-disjoint spanning trees, or  $G$  has a unique edge subset  $X$  such that  $H = G[X]$  is  $\eta$ -maximal with  $\eta(H) > k$ .*

For a connected graph  $G$  with  $\tau(G) \geq k$ , define  $E_k(G) = \{e \in E(G) : \tau(G - e) \geq k\}$ .

**Theorem 2.11** (Theorem 4.2 in [9]). *Let  $G$  be a connected graph with  $\tau(G) \geq k$ . Then  $E_k(G) = E(G)$  if and only if  $\eta(G) > k$ .*

**Lemma 2.12.** Let  $G$  be a simple graph and let  $X_k \subset E(G)$  be the edge subset defined in Lemma 2.5 (i). If  $H'$  and  $H''$  are two components of  $G(X_k)$ , then each of the following holds.

- (i)  $|E(H', H'')| < k$ .
- (ii) If  $d(H') > k$ , then there exists  $K \subseteq H'$  such that  $d(K) > k$  and  $\tau(K - e) \geq k$  for any  $e \in E(K)$ .
- (iii) If  $d(H') > k$ , then there exists  $e' \in E(H')$  such that  $\tau(H' - e') \geq k$ , and  $E(G) - X_k$  has at most one edge joining the ends of  $e'$  to  $H''$ .

**Proof.** By Lemma 2.5(i), both  $H'$  and  $H''$  are  $\mathcal{T}_k$ -maximal subgraphs of  $G$ .

Let  $v', v''$  denote the two vertices in  $G/(H' \cup H'')$  onto which  $H'$  and  $H''$  are contracted, respectively. Let  $G' = G[V(H') \cup V(H'')]$ . If  $|E(H', H'')| = h \geq k$ , then  $L' = G'/(H' \cup H'')[\{v', v''\}] \cong hK_2 \in \mathcal{T}_k$ . As  $H', L' \in \mathcal{T}_k$ , it follows by Proposition 2.1(C3) that  $G'/H'' \in \mathcal{T}_k$ . Note that  $H'' \in \mathcal{T}_k$ , it follows by Proposition 2.1(C3) again that  $G' = G[V(H') \cup V(H'')] \in \mathcal{T}_k$ , contrary to the assumption that  $H'$  and  $H''$  are  $\mathcal{T}_k$ -maximal subgraphs of  $G$ . Hence we must have  $|E(H', H'')| < k$ , and so (i) follows.

Part (ii) follows from Theorems 2.10 and 2.11 directly.

By Lemma 2.9 and part (ii),  $\alpha'(K) \geq \lceil \frac{k}{2} \rceil$ . Let  $M$  be a matching of  $K$  of size  $\lceil \frac{k}{2} \rceil$ . Then for any  $e' \in M$ ,  $K - e' \in \mathcal{T}_k$  by (ii). Since  $e' \in E(K)$ ,  $(H' - e')/(K - e') = H'/K$ . By Proposition 2.1(C2),  $(H' - e')/(K - e') \in \mathcal{T}_k$ . Therefore,  $H' - e' \in \mathcal{T}_k$  by Proposition 2.1(C3). If for any  $e' \in M \subset E(H')$  there are at least two edges joining the ends of  $e'$  to  $H''$ , then  $|E(H', H'')| \geq |E(K, H'')| \geq 2 \lceil \frac{k}{2} \rceil \geq k$ , contrary to (i). Hence this proves (iii).  $\square$

**Lemma 2.13.** Let  $G$  be a nontrivial graph with  $\tau(G) \geq k$ . If  $d(G) = k$ , then for any nontrivial subgraph  $H$  of  $G$ ,  $d(H) \leq k$ . Moreover, if  $\tau(H) \geq k$ , then  $d(H) = k$ .

**Proof.** Since  $\tau(G) \geq k$  and  $|E(G)| = k(|V(G)| - 1)$ ,  $\tau(G) = k$  and  $E(G)$  is a union of  $k$  edge-disjoint spanning trees. Let  $T_1, T_2, \dots, T_k$  be edge-disjoint spanning trees of  $G$ . Then for any nontrivial subgraph  $H$  of  $G$ ,  $|E(H) \cap E(T_i)| \leq |V(H)| - 1$ ,  $1 \leq i \leq k$ . Therefore,

$$|E(H)| = |E(H) \cap (\cup_{i=1}^k E(T_i))| = \sum_{i=1}^k |E(H) \cap E(T_i)| \leq k(|V(H)| - 1).$$

Thus  $d(H) \leq k$ . If  $\tau(H) \geq k$ , then  $|E(H)| \geq k(|V(H)| - 1)$  and so  $d(H) \geq k$ . This, together with  $d(H) \leq k$ , implies  $d(H) = k$ .  $\square$

### 3. Characterizations of graphic sequences with realizations having $k$ edge-disjoint spanning trees

We present the main result of the paper in this section, which is Theorem 1.1 restated here.

**Theorem 3.1.** Let  $d = (d_1, d_2, \dots, d_n)$  be a nonincreasing graphic sequence. Then  $d$  has a realization  $G$  in  $\mathcal{T}_k$  if and only if either  $n = 1$  and  $d_1 = 0$ , or  $n > 1$  and each of the following statements holds.

- (i)  $d_n \geq k$ ,
- (ii)  $\sum_{i=1}^n d_i \geq 2k(n - 1)$ .

**Proof.** The case when  $n = 1$  is trivial and so we shall assume that  $n > 1$ . If  $G \in \mathcal{T}_k$ ,  $2k(|V(G)| - 1) \leq 2|E(G)| = \sum_{i=1}^n d_i$  and each vertex has degree at least  $k$ . This proves the necessity.

We now prove the sufficiency. Assume  $d$  is a nonincreasing graphic sequence satisfying both Theorem 3.1 (i) and (ii). We argue by contradiction and assume that

$$\text{every } d\text{-realization } G \text{ is not in } \mathcal{T}_k. \tag{1}$$

Suppose  $G$  is a  $d$ -realization. By (1),  $G \notin \mathcal{T}_k$ , and so by Lemma 2.5 (i),  $G$  has a unique edge subset  $X_k \subseteq E(G)$  such that each component of  $G[X_k]$  is a  $\mathcal{T}_k$ -maximal subgraph. Let  $X = E(G) - X_k$ . Since  $G \notin \mathcal{T}_k$ ,  $X \neq \emptyset$ . Suppose  $G - X$  has  $c$  components,  $H_1, H_2, \dots, H_c$ , which are so labeled that  $d(H_1) \geq d(H_2) \geq \dots \geq d(H_t) \geq k$ , and that  $H_j = K_1$  for  $j = t + 1, \dots, c$ . Define

$$\mathcal{F}_1(G) = \{H_i : d(H_i) > k\} \quad \text{and} \quad \mathcal{F}_2(G) = \{H_i : d(H_i) = k\}.$$

Then  $|\mathcal{F}_1(G)| + |\mathcal{F}_2(G)| = t$ .

**Claim 1:** If every  $d$ -realization is not in  $\mathcal{T}_k$ , then there exists a  $d$ -realization  $G$  such that  $|\mathcal{F}_1(G)| = 1$ .

By contradiction, suppose that for any  $d$ -realization  $G$ ,  $|\mathcal{F}_1(G)| \geq 2$ . Choose a  $d$ -realization  $G$  such that

$$\omega(G - X) \text{ is minimized,} \tag{2}$$

and among all the  $d$ -realizations  $G$  satisfying (2), we further choose  $G$  so that

$$|X| \text{ is maximized.} \tag{3}$$

As  $|\mathcal{F}_1(G)| \geq 2$ , we have  $d(H_1), d(H_2) > k$ . By Lemma 2.12(iii), there exist  $e_1 = u_1v_1 \in E(H_1)$  and  $e_2 = u_2v_2 \in E(H_2)$  such that  $H_1 - e_1, H_2 - e_2 \in \mathcal{T}_k$ , and there exists at most one edge in  $X$  joining the ends of  $e_1$  and  $e_2$ . Without loss of generality, assume  $u_1u_2, v_1v_2 \notin E(G)$  and let

$$G_1 = (G - \{u_1v_1, u_2v_2\}) \cup \{u_1u_2, v_1v_2\} \quad \text{and} \quad X_1 = X \cup \{u_1u_2, v_1v_2\}. \tag{4}$$

Then by the choice of these edges  $u_1u_2, v_1v_2, G_1$  is also a  $d$ -realization. By assumption,  $G_1 \notin \mathcal{T}_k$  and  $|\mathcal{F}_1(G_1)| \geq 2$ . Since  $G_1 - X_1 = (H_1 - u_1v_1) \cup (H_2 - u_2v_2) \cup H_3 \cup \dots \cup H_c$  and since each component of  $G_1 - X_1$  is in  $\mathcal{T}_k$ , it follows by (2) that  $X_1$  is the unique subset of  $E(G_1)$  such that  $\omega(G_1 - X_1) = \omega(G - X) = c$  with each component of  $G_1 - X_1$  being a  $\mathcal{T}_k$ -maximal subgraph. Now we have  $|X_1| = |X| + 2$ , contrary to (3). Thus Claim 1 holds.

By Lemma 2.6, for any graph  $G'$ , either  $G' \in \mathcal{T}_k$  or  $|\mathcal{F}_1(G')| \geq 1$ . Now we prove the theorem by contradiction. Suppose for every  $d$ -realization  $G, G \notin \mathcal{T}_k$ . Then by Claim 1, there exists  $G$  such that  $|\mathcal{F}_1(G)| = 1$ . Thus we can choose a  $d$ -realization  $G$  satisfying

$$|\mathcal{F}_1(G)| = 1 \quad \text{with } |V(H_1)| \text{ maximized.} \tag{5}$$

And subject to (5), we further choose  $G$  such that

$$|X| \text{ is maximized.} \tag{6}$$

We consider the following cases.

Case 1:  $t \geq 2$ . Thus  $H_2 \neq K_1$ .

By Lemma 2.12 (iii), there exist  $e_1 \in E(H_1), e_2 \in E(H_2)$  such that there is at most one edge in  $G$  joining  $e_1$  and  $e_2$  and  $H_1 - e_1 \in \mathcal{T}_k$ . Define  $G_1$  and  $X_1$  as in (4).

Since  $d(H_2 - e_2) < k, H_2 - e_2$  is no longer in  $\mathcal{T}_k$ . Let  $\mathcal{T}_k$ -maximal subgraphs of  $G_1[(H_1 - e_1) \cup (H_2 - e_2)]$  be  $H_{1,2}, H_{2,1}, \dots, H_{2,t_2}$  where  $H_1 - e_1 \subseteq H_{1,2}$  and  $H_{2,1} \dots H_{2,t_2} \subseteq H_2 - e_2$ . For each  $H_{2,i}$ , since  $d(H_2) = k$  and  $H_{2,i} \subseteq H_2$ , by Lemma 2.13 either  $d(H_{2,i}) = k$  or  $H_{2,i} = K_1$ . Notice that  $G/(H_1 \cup H_2) = G_1/[(H_1 - e_1) \cup (H_2 - e_2)]$ . Therefore,  $H_{1,2}, H_{2,1}, \dots, H_{2,t_2}, H_3, \dots, H_c$  are  $\mathcal{T}_k$ -maximal subgraphs of  $G_1$ . By (5) and  $\mathcal{F}_1(G_1) = \{H_{1,2}\}, H_{1,2} = H_1 - e_1$ .

Let  $X'$  be the edge subset of  $G_1$  such that  $G_1 - X' = H_{1,2} \cup H_{2,1} \cup \dots \cup H_{2,t_2} \cup H_3 \cup \dots \cup H_c$ . Then  $X \neq X_1$  and  $X \subset X_1 \subset X'$ , contrary to (6).

Case 2:  $t = 1$ , and so  $H_2 = K_1$ .

In this case, if  $c = 2$ , then by Theorem 3.1(i), there must be at least  $k$  edges between  $H_1$  and  $H_2$ . Since  $H_1 \in \mathcal{T}_k$ , it follows that  $G \in \mathcal{T}_k$ , contrary to (1). Hence we must have  $c \geq 3$ .

For  $i \geq 2$ , denote  $V(H_i) = \{x_i\}$ . Note that for any  $H_i = K_1$ , there exists an  $H_j = K_1$  such that  $e = x_i x_j \in X$ . For otherwise,  $x_i$  must only be adjacent to the vertices in  $H_1$ . By Theorem 3.1 (i),  $|E(H_i, H_1)| \geq k$ , contrary to Lemma 2.12 (i). Without loss of generality, we assume  $x_2 x_3 \in X$ . By Lemma 2.12 (ii), there exists a nontrivial subgraph  $K \subseteq H_1$  such that  $K - e \in \mathcal{T}_k$  for any  $e \in E(K)$ .

Claim 2: There exists  $e' = uv \in E(K)$  such that  $ux_2, vx_3 \notin E(G)$ .

In order to present the proof, we define

$$\begin{aligned} B_1 &= \{v \in V(K) : vx_2, vx_3 \notin E(G)\}, & B_2 &= \{v \in V(K) : vx_2 \in E(G), vx_3 \notin E(G)\}, \\ B_3 &= \{v \in V(K) : vx_2 \notin E(G), vx_3 \in E(G)\}, & B_4 &= \{v \in V(K) : vx_2, vx_3 \in E(G)\} \end{aligned}$$

and let  $N(B_1) = \{v \in V(K) : \exists u \in B_1 \text{ such that } uv \in E(K)\}$ . Note that by definition, we have

$$V(K) = B_1 \cup B_2 \cup B_3 \cup B_4. \tag{7}$$

If  $B_1 = \emptyset$ , then  $N(B_2) \cup N(B_3) \subseteq B_4$ , forcing  $|B_4| \geq k - 1$ , and so  $x_2$  will have at least  $k$  edges joining  $K$ , contrary to  $x_2 \notin V(H_1)$ . Hence  $B_1 \neq \emptyset$ . If  $E(G[B_1]) \neq \emptyset$ , then Claim 2 holds. Thus we may assume that  $E(G[B_1]) = \emptyset$ . It follows that  $N(B_1) \cap B_1 = \emptyset$ .

Firstly, we shall show that

$$N(B_1) \cap [B_2 \cup B_3] \neq \emptyset. \tag{8}$$

If (8) fails, then by (7),  $N(B_1) \subseteq B_4$ . Since  $K \in \mathcal{T}_k$ , for any vertex  $v \in B_1, d_K(v) \geq k$ . Therefore,  $|B_4| \geq |N(B_1)| \geq k$ . But then by definition of  $B_4, |E(H_1, H_2)| \geq |E(B_4, x_2)| = |B_4| \geq k$ , contrary to Lemma 2.12 (i). This verifies (8).

By (8), we first assume that there exists  $v \in N(B_1) \cap B_2$ . Thus there exists  $u \in B_1$  such that  $uv \in E(K)$ . By the definitions of  $B_2$  and  $B_1$ , both  $vx_3 \notin E(G)$  and  $ux_2 \notin E(G)$ , and so Claim 2 follows.

Next, we assume that there exists  $u \in N(B_1) \cap B_3$ . Thus there exists  $v \in B_1$  such that  $uv \in E(K)$ . By the definitions of  $B_3$  and  $B_1, ux_2 \notin E(G)$  and  $vx_3 \notin E(G)$ . Thus, Claim 2 must hold. This completes the proof for Claim 2.

By Claim 2, define

$$G_2 = (G - x_2x_3 - uv) \cup \{ux_2, vx_3\} \quad \text{and} \quad X_2 = X - x_2x_3 \cup \{ux_2, vx_3\}.$$

Then by the choice of  $u, v, x_2$  and  $x_3, G_2$  is also a  $d$ -realization. We shall show that  $|\mathcal{F}_1(G_2)| = 1$ . Assume, on the contrary, that  $|\mathcal{F}_1(G_2)| \geq 2$ . Then there exists  $S \in \mathcal{F}_1(G_2)$  and  $S \neq H_1 - uv$ . By Proposition 2.1(C4),  $V(S) \cap V(H_1) = \emptyset$ . But then  $S$  is a subgraph of  $G$  other than  $H_1$ , contrary to the assumption that  $|\mathcal{F}_1(G)| = 1$ .

By (5),  $H_1 - uv$  is a  $\mathcal{T}_k$ -maximal subgraph of  $G_2$ . Since  $G_2[H_2 \cup \dots \cup H_c] = G[H_2 \cup \dots \cup H_c] - x_2x_3, H_2, \dots, H_c$  are  $\mathcal{T}_k$ -maximal subgraphs of  $G_2$ . But now  $|X_2| = |X_1| + 1$ , contrary to (6).

This completes the proof of the theorem.  $\square$

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